

# MULTIPLIER AND AVERAGING OPERATORS IN THE BANACH SPACES $\text{ces}(\mathbf{p})$ , $1 < \mathbf{p} < \infty$

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ABSTRACT. The Banach sequence spaces  $\text{ces}(p)$  are generated in a specified way via the classical spaces  $\ell_p$ ,  $1 < p < \infty$ . For each pair  $1 < p, q < \infty$  the  $(p, q)$ -multiplier operators from  $\text{ces}(p)$  into  $\text{ces}(q)$  are known. We determine precisely which of these multipliers is a compact operator. Moreover, for the case of  $p = q$  a complete description is presented of those  $(p, p)$ -multiplier operators which are mean (resp. uniform mean) ergodic. A study is also made of the linear operator  $C$  which maps a numerical sequence to the sequence of its averages. All pairs  $1 < p, q < \infty$  are identified for which  $C$  maps  $\text{ces}(p)$  into  $\text{ces}(q)$  and, amongst this collection, those which are compact. For  $p = q$ , the mean ergodic properties of  $C$  are also treated.

## 1. INTRODUCTION.

For each element  $x = (x_n)_n = (x_1, x_2, \dots)$  of  $\mathbb{C}^{\mathbb{N}}$  let  $|x| := (|x_n|)_n$  and write  $x \geq 0$  if  $x = |x|$ . Of course,  $x \leq y$  means that  $(y - x) \geq 0$ . The Cesàro operator  $C : \mathbb{C}^{\mathbb{N}} \rightarrow \mathbb{C}^{\mathbb{N}}$ , defined by

$$C(x) := \left(x_1, \frac{x_1 + x_2}{2}, \frac{x_1 + x_2 + x_3}{3}, \dots\right), \quad x \in \mathbb{C}^{\mathbb{N}},$$

satisfies  $|C(x)| \leq C(|x|)$  for  $x \in \mathbb{C}^{\mathbb{N}}$  and is a vector space isomorphism of  $\mathbb{C}^{\mathbb{N}}$  onto itself. It is also a topological isomorphism when  $\mathbb{C}^{\mathbb{N}}$  is considered as a (locally convex) Fréchet space with respect to the coordinatewise convergence. For each  $1 < p < \infty$  define

$$\text{ces}(p) := \left\{ x \in \mathbb{C}^{\mathbb{N}} : \|x\|_{\text{ces}(p)} := \left\| \left( \frac{1}{n} \sum_{k=1}^n |x_k| \right)_n \right\|_p = \|C(|x|)\|_p < \infty \right\}, \quad (1.1)$$

where  $\|\cdot\|_p$  denotes the standard norm in  $\ell_p$ . An intensive study of the Banach spaces  $\text{ces}(p)$ ,  $1 < p < \infty$ , was undertaken in [3]; see also the references therein. In particular, they are reflexive,  $p$ -concave Banach lattices (for the order induced by  $\mathbb{C}^{\mathbb{N}}$ ) and the canonical vectors  $e_k := (\delta_{nk})_n$ , for  $k \in \mathbb{N}$ , form an unconditional basis, [3], [6]. For any pair  $1 < p, q < \infty$  the space  $\text{ces}(p)$  is known not to be isomorphic to  $\ell_q$ , [3, Proposition 15.13]. It is shown in Proposition 3.3 (for all  $p \neq q$ ) that  $\text{ces}(p)$  is also not isomorphic to  $\text{ces}(q)$ . It is important to note that the inequality

$$\frac{A_p}{k^{1/p'}} \leq \|e_k\|_{\text{ces}(p)} \leq \frac{B_p}{k^{1/p}}, \quad k \in \mathbb{N}, \quad (1.2)$$

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is valid for strictly positive constants  $A_p, B_p$  and with  $\frac{1}{p} + \frac{1}{p'} = 1$ , [3, Lemma 4.7]. It is known, [3, p.26], that  $ces(p) = cop(p)$  with equivalent norms, where

$$cop(p) := \left\{ x \in \mathbb{C}^{\mathbb{N}} : \|x\|_{cop(p)} := \left\| \left( \sum_{k=n}^{\infty} \frac{|x_k|}{k} \right)_n \right\|_p < \infty \right\}, \quad 1 < p < \infty.$$

The dual Banach spaces  $(ces(p))'$ ,  $1 < p < \infty$ , are described in Section 12 of [3]. Yet another equivalent norm in  $ces(p)$ , via the dyadic decomposition of  $\mathbb{N}$ , is available, [11, Theorem 4.1]. Namely,  $x \in \mathbb{C}^{\mathbb{N}}$  belongs to  $ces(p)$  if and only if

$$\|x\|_{[p]} := \left( \sum_{j=0}^{\infty} 2^{j(1-p)} \left( \sum_{k=2^j}^{2^{j+1}-1} |x_k| \right)^p \right)^{1/p} < \infty. \quad (1.3)$$

The spaces  $ces(p)$ ,  $1 < p < \infty$ , also arise in a very different way. Fix  $1 < p < \infty$ . Since the Cesàro operator  $C_{p,p} : \ell_p \rightarrow \ell_p$ , i.e.,  $C$  restricted to  $\ell_p$ , is a *positive* operator between Banach lattices, it is natural to look for continuous  $\ell_p$ -valued extensions of  $C_{p,p}$  to Banach lattices  $X \subseteq \mathbb{C}^{\mathbb{N}}$  which are larger than  $\ell_p$  and *solid* (i.e.,  $y \in \mathbb{C}^{\mathbb{N}}$  and  $|y| \leq |x|$  with  $x \in X$  implies that  $y \in X$ ). The *largest* of all those solid Banach lattices in  $\mathbb{C}^{\mathbb{N}}$  for which such a continuous,  $\ell_p$ -valued extension of  $C_{p,p} : \ell_p \rightarrow \ell_p$  is possible is precisely  $ces(p)$ , [6, p.62]. Of course, this "largest extension"  $C_{c(p),p} : ces(p) \rightarrow \ell_p$  is the restriction of  $C$  from  $\mathbb{C}^{\mathbb{N}}$  to  $ces(p)$ . Somewhat surprisingly,  $C_{c(p),p}$  also possesses an *integral representation*. That is,  $ces(p)$  coincides with the  $L^1$ -space of an  $\ell_p$ -valued vector measure  $m_p$  and  $C_{c(p),p}$  is given by

$$C_{c(p),p}(x) = \int_{\mathbb{N}} x(n) dm_p(n), \quad x \in L^1(m_p) = ces(p).$$

Here  $m_p : \mathcal{R} \rightarrow \ell_p$  is the  $\sigma$ -additive *vector measure* defined on the  $\delta$ -ring  $\mathcal{R}$  of all finite subsets of  $\mathbb{N}$  by

$$m_p(A) := C_{p,p}(\chi_A), \quad A \in \mathcal{R}, \quad (1.4)$$

where  $\chi_A : \mathbb{N} \rightarrow \mathbb{C}$  is the element of  $\mathbb{C}^{\mathbb{N}}$  given by  $\chi_A = \sum_{k \in A} e_k$  for each  $A \subseteq \mathbb{N}$ . This claim certainly requires a proof. First, the space  $L^1(m_p)$  of all  $m_p$ -integrable functions on  $\mathbb{N}$ , as defined in [8], [9], is the *optimal domain* for the operator  $C_{p,p}$  (in the sense of [9, Corollaries 2.4 and 2.6]) within the class of all Banach function spaces (briefly, B.f.s) over  $(\mathbb{N}, \mathcal{R}, \mu)$  which have *absolutely continuous* norm (briefly, a.c.); here  $\mu$  denotes counting measure. More precisely,  $L^1(m_p) \subseteq \mathbb{C}^{\mathbb{N}}$  contains the domain space  $\ell_p$  of  $C_{p,p}$ , the integration map  $I_{m_p} : L^1(m_p) \rightarrow \ell_p$  (given by  $x \mapsto \int_{\mathbb{N}} x dm_p$  for  $x \in L^1(m_p)$ ) satisfies  $I_{m_p}(x) = C_{p,p}(x)$  for each  $x \in \ell_p \subseteq L^1(m_p)$ , and  $L^1(m_p)$  is the *largest* of all B.f.s.' over  $(\mathbb{N}, \mathcal{R}, \mu)$  having a.c.-norm to which  $C_{p,p}$  can be extended while still maintaining its values in  $\ell_p$ . To verify this, we observe that an equivalent norm in  $L^1(m_p)$  is given by

$$\|x\|_{L^1(m_p)} := \sup \left\{ \left\| \int_A x dm_p \right\|_p : A \in \mathcal{R} \right\}, \quad x \in L^1(m_p);$$

see (3) on p.434 of [8]. But, for  $x \in L^1(m_p)$  and each  $A \in \mathcal{R}$ , the function  $x\chi_A$  is an  $\mathcal{R}$ -simple function and so it follows from (1.4) that  $\int_A x dm_p = C_{p,p}(x\chi_A)$ .

Now, for  $x \in ces(p)$  fixed, note that

$$\left\| \int_A x dm_p \right\|_p = \|C_{p,p}(x\chi_A)\|_p = \|C_{c(p),p}(x\chi_A)\|_p \leq \|C_{c(p),p}(|x|)\|_p = \|x\|_{ces(p)} < \infty$$

for every  $A \in \mathcal{R}$ . If we define  $\int_A x dm_p := C_{c(p),p}(x\chi_A) \in \ell_p$  for an arbitrary subset  $A \subseteq \mathbb{N}$ , then  $x$  is  $m_p$ -integrable in the sense of [8, p.434], [9, p.133], with  $\|x\|_{L^1(m_p)} \leq \|x\|_{ces(p)}$ . Since  $ces(p)$  itself is a B.f.s. over  $(\mathbb{N}, \mathcal{R}, \mu)$  having an a.c.-norm and containing  $\ell_p$ , we can conclude from the optimality of  $L^1(m_p)$  that  $ces(p) \subseteq L^1(m_p)$  with a continuous inclusion. On the other hand, recall that  $ces(p)$  is the largest solid Banach lattice in  $\mathbb{C}^{\mathbb{N}}$  which contains  $\ell_p$  and  $C$  maps into  $\ell_p$ . But, the B.f.s.  $L^1(m_p)$  is such a solid Banach lattice which  $C$  maps into  $\ell_p$ . Indeed, since  $L^1(m_p) \subseteq \mathbb{C}^{\mathbb{N}}$  with  $\ell_p$  dense in  $L^1(m_p)$  (as  $\ell_p$  contains the  $\mathcal{R}$ -simple functions which are known to be dense in  $L^1(m_p)$ , [8, p.434]) and  $C$  acts in all of  $\mathbb{C}^{\mathbb{N}}$ , it follows from the fact that norm convergence of a sequence in  $L^1(m_p)$  implies the pointwise convergence  $\mu$ -a.e. of a subsequence, [9, p.134] (in this case meaning coordinatewise convergence in  $\mathbb{C}^{\mathbb{N}}$ ), that the extended operator  $I_{m_p}$  is necessarily given by  $I_{m_p}(x) = C(x)$  for all  $x \in L^1(m_p)$ . Accordingly  $L^1(m_p) \subseteq ces(p)$  and hence,  $L^1(m_p) = ces(p)$  with equivalence of the norms  $\|\cdot\|_{L^1(m_p)}$  and  $\|\cdot\|_{ces(p)}$ . It is an important feature that  $m_p$  cannot be extended to a more traditional  $\sigma$ -additive,  $\ell_p$ -valued vector measure defined on the  $\sigma$ -algebra  $2^{\mathbb{N}}$  generated by  $\mathcal{R}$ . This is because its range  $m_p(\mathcal{R})$  is an unbounded subset of  $\ell_p$ . Indeed, for  $A_n := \{1, 2, \dots, N\} \in \mathcal{R}$  we have  $m_p(A_n) = \sum_{j=1}^N e_j + N \sum_{j=N+1}^{\infty} \frac{1}{j} e_j$  and hence,  $\|m_p(A_n)\|_p \geq N^{1/p}$  for all  $N \in \mathbb{N}$ .

Having presented several equivalent and varied descriptions of the spaces  $ces(p)$ ,  $1 < p < \infty$ , we now formulate the aim of this note, namely to make a detailed analysis of certain linear operators defined on these spaces. Let us be more precise.

Given a pair  $1 < p, q < \infty$ , an element  $a \in \mathbb{C}^{\mathbb{N}}$  is called a  $(p, q)$ -multiplier if it multiplies  $ces(p)$  into  $ces(q)$ , that is, if  $ax \in ces(q)$  for every  $x \in ces(p)$ , where the product  $ax := (a_n x_n)_n$  is defined coordinatewise. The closed graph theorem ensures that the corresponding linear  $(p, q)$ -multiplier operator  $M_{p,q}^a : x \mapsto ax$  is then necessarily continuous from  $ces(p)$  into  $ces(q)$ . If  $p = q$ , then we denote  $M_{p,p}^a$  simply by  $M_p^a$  and note that  $M_p^a$  is the diagonal operator acting in  $ces(p)$  via the matrix having the scalars  $\{a_n : n \in \mathbb{N}\}$  in its diagonal. The vector space of all  $(p, q)$ -multipliers, denoted by  $\mathcal{M}_{p,q}$  (or  $\mathcal{M}_p$  if  $p = q$ ), has been completely determined by G. Bennett; see [3, pp.69-70], after recalling that  $cop(p) = ces(p)$  for all  $1 < p < \infty$ .

In Section 2 we investigate various properties of the multiplier operators  $M_{p,q}^a$  for all pairs  $1 < p, q < \infty$  and  $a \in \mathcal{M}_{p,q}$ . For instance, those multipliers  $a \in \mathcal{M}_{p,q}$  for which  $M_{p,q}^a$  is a compact operator are characterized; see Propositions 2.2 and 2.5. Also, given  $a \in \mathcal{M}_p = \ell_{\infty}$  it is shown that the spectrum of  $M_p^a$  is the set

$$\sigma(M_p^a) = \overline{a(\mathbb{N})}, \quad 1 < p < \infty,$$

where  $a(\mathbb{N}) := \{a_n : n \in \mathbb{N}\} \subseteq \mathbb{C}$ , and that  $\|M_p^a\|_{op} = \|a\|_{\infty}$  with  $\|\cdot\|_{op}$  denoting the operator norm of  $M_p^a : ces(p) \rightarrow ces(p)$ ; see Lemma 2.6 and Proposition 2.7. Furthermore, those  $a \in \mathcal{M}_p$  are identified for which the operator  $M_p^a$  is mean

*ergodic* (cf. Proposition 2.8) as well as those for which  $M_p^a$  is *uniformly mean ergodic* (cf. Proposition 2.10).

It is clear from (1.1) and the discussions above that the Cesàro operator  $C$  is intimately connected to the Banach spaces  $ces(p)$ ,  $1 < p < \infty$ . Indeed, Hardy's classical inequality states, for  $1 < p < \infty$ , that

$$\sum_{n=1}^{\infty} \left( \frac{1}{n} \sum_{k=1}^n b_k \right)^p \leq K_p \sum_{n=1}^{\infty} b_n^p$$

for all choices of non-negative numbers  $\{b_n\}_{n=1}^{\infty}$  and some constant  $K_p > 0$ , [12]. Setting  $b_n := |x_n|$ , for  $n \in \mathbb{N}$  and each  $x \in \ell_p$ , it is immediate that  $\|C_{p,p}(|x|)\|_p \leq K_p^{1/p} \|x\|_p$ , that is,  $\ell_p \subseteq ces(p)$  with a continuous inclusion; Remark 2.2 of [6] shows that this containment is strict. Moreover, the Cesàro operator  $C_{c(p),p} : ces(p) \rightarrow \ell_p$  is continuous; this was already implicitly used above. To see this fix  $x \in ces(p)$ . Using the fact that  $\|\cdot\|_p$  is a Banach lattice norm yields

$$\|C_{c(p),p}(x)\|_p = \| |C(x)| \|_p \leq \|C(|x|)\|_p = \|x\|_{ces(p)}.$$

The connection between  $C$  and  $ces(p)$  is further exemplified by the following remarkable result of Bennett, [3, Theorem 20.31].

**Proposition 1.1.** *Let  $1 < p < \infty$  and  $x \in \mathbb{C}^{\mathbb{N}}$ . Then*

$$x \in ces(p) \text{ if and only if } C(|x|) \in ces(p). \quad (1.5)$$

Further examples of Banach spaces  $X \subseteq \mathbb{C}^{\mathbb{N}}$  such that  $C(X) \subseteq X$  and for which Proposition 1.1 is valid (with  $X$  in place of  $ces(p)$ ) are identified in [5], [6], [7].

In Section 3 it is shown that  $C$  maps  $ces(p)$  into  $ces(q)$ , necessarily continuously, if and only if  $1 < p \leq q < \infty$ ; see Proposition 3.5. Furthermore, *all pairs*  $1 < p, q < \infty$  are identified for which  $C$  maps  $\ell_p$  into  $ces(q)$  and for which  $C$  maps  $ces(p)$  into  $\ell_q$ , as well as the subclass of these continuous operators which are actually *compact*. Two important facts in this regard are that the Cesàro operator  $C_{c(p),c(p)} : ces(p) \rightarrow ces(p)$  has spectrum

$$\sigma(C_{c(p),c(p)}) = \left\{ \lambda \in \mathbb{C} : |\lambda - \frac{p'}{2}| \leq \frac{p'}{2} \right\}, \quad 1 < p < \infty, \quad (1.6)$$

[6, Theorem 5.1], and that the natural inclusion map  $ces(p) \hookrightarrow ces(q)$  is compact whenever  $1 < p < q$ ; see Proposition 3.4. A consequence of (1.6) is that  $C_{c(p),c(p)}$  and  $C_{p,p}$  are never mean ergodic.

## 2. MULTIPLIER OPERATORS FROM $ces(p)$ INTO $ces(q)$ .

According to table 16 on p.69 of [3], given  $1 < p \leq q < \infty$  an element  $a = (a_n)_n \in \mathbb{C}^{\mathbb{N}}$  belongs to  $\mathcal{M}_{p,q}$  *if and only if* the element  $(a_n n^{\frac{1}{q} - \frac{1}{p}})_n \in \ell_{\infty}$ . Observe that  $(\frac{1}{q} - \frac{1}{p}) \leq 0$ . In particular,  $\ell_{\infty} \subseteq \mathcal{M}_{p,q}$  and, if  $p = q$ , then  $\mathcal{M}_p = \ell_{\infty}$ . For fixed  $a \in \ell_{\infty}$ , it follows via the inequality  $C(|au|) \leq \|a\|_{\infty} C(|u|)$ , for  $u \in \mathbb{C}^{\mathbb{N}}$ , that  $\|M_p^a(x)\|_{ces(p)} = \|C(|ax|)\|_p \leq \|a\|_{\infty} \|C(|x|)\|_p = \|a\|_{\infty} \|x\|_{ces(p)}$ , for all  $x \in ces(p)$ . Hence,  $M_p^a : ces(p) \rightarrow ces(p)$  satisfies

$$\|M_p^a\|_{op} \leq \|a\|_{\infty}, \quad a \in \ell_{\infty}, \quad 1 < p < \infty. \quad (2.1)$$

Here  $\|\cdot\|_{op}$  denotes the operator norm. We begin with a result which is probably known; due to the lack of a reference we include a proof. Let  $\varphi$  be the

vector subspace of  $\mathbb{C}^{\mathbb{N}}$  consisting of all elements with only finitely many non-zero coordinates.

**Lemma 2.1.** *Let  $T : \mathbb{C}^{\mathbb{N}} \rightarrow \mathbb{C}^{\mathbb{N}}$  be a continuous linear operator and  $X, Y$  be a Banach sequence spaces satisfying  $\varphi \subseteq X \subseteq \mathbb{C}^{\mathbb{N}}$  and  $\varphi \subseteq Y \subseteq \mathbb{C}^{\mathbb{N}}$  with continuous inclusions such that  $T(X) \subseteq Y$ . Then the restriction  $T : X \rightarrow Y$  is a compact operator if and only if it satisfies the following property (K), namely:*

(K) *If a norm bounded sequence  $\{x_m\}_{m=1}^{\infty} \subseteq X$  satisfies  $\lim_{m \rightarrow \infty} x_m = 0$  in the Fréchet space  $\mathbb{C}^{\mathbb{N}}$ , then  $\lim_{m \rightarrow \infty} T(x_m) = 0$  in the Banach space  $Y$ .*

*Proof.* By the closed graph theorem  $T : X \rightarrow Y$  is continuous.

Suppose first that  $T : X \rightarrow Y$  is compact. Let  $\{x_m\}_{m=1}^{\infty} \subseteq X$  be any sequence in  $X$  satisfying  $\lim_{m \rightarrow \infty} x_m = 0$  in  $\mathbb{C}^{\mathbb{N}}$ . Assume that the sequence  $\{T(x_m)\}_{m=1}^{\infty}$  does not converge to 0 in  $Y$ . Select a subsequence  $\{x_{m_k}\}_{k=1}^{\infty}$  of  $\{x_m\}_{m=1}^{\infty}$  and  $r > 0$  such that

$$\|T(x_{m_k})\|_Y \geq r, \quad k \in \mathbb{N}. \quad (2.2)$$

By compactness of  $T$  there exists  $y \in Y$  and a subsequence  $\{x_{m_{k(l)}}\}_{l=1}^{\infty}$  of  $\{x_{m_k}\}_{k=1}^{\infty}$  such that  $\lim_{l \rightarrow \infty} \|T(x_{m_{k(l)}}) - y\|_Y = 0$ . Continuity of the inclusion  $Y \subseteq \mathbb{C}^{\mathbb{N}}$  implies that also  $\lim_{l \rightarrow \infty} T(x_{m_{k(l)}}) = y$  in  $\mathbb{C}^{\mathbb{N}}$ . But,  $\lim_{l \rightarrow \infty} x_{m_{k(l)}} = 0$  in  $\mathbb{C}^{\mathbb{N}}$  and  $T : \mathbb{C}^{\mathbb{N}} \rightarrow \mathbb{C}^{\mathbb{N}}$  is continuous. Accordingly,  $\lim_{l \rightarrow \infty} T(x_{m_{k(l)}}) = 0$  in  $\mathbb{C}^{\mathbb{N}}$  and so  $y = 0$ ; contradiction to (2.2). Hence, necessarily  $T(x_m) \rightarrow 0$  in  $Y$  for  $m \rightarrow \infty$ . This establishes that  $T$  has property (K).

Conversely, suppose that  $T$  has property (K). Let  $\{x_i\}_{i=1}^{\infty}$  be any bounded sequence in  $X$ . To show that  $T$  is compact we need to argue that  $\{T(x_i)\}_{i=1}^{\infty}$  has a convergent subsequence in  $Y$ . Since the inclusion  $X \subseteq \mathbb{C}^{\mathbb{N}}$  is continuous, the sequence  $\{x_i\}_{i=1}^{\infty}$  is also bounded in the Fréchet-Montel space  $\mathbb{C}^{\mathbb{N}}$ . Hence, there is a subsequence  $u_j := x_{i_j}$ , for  $j \in \mathbb{N}$ , of  $\{x_i\}_{i=1}^{\infty}$  and  $x \in \mathbb{C}^{\mathbb{N}}$  such that  $\lim_{j \rightarrow \infty} u_j = x$  in  $\mathbb{C}^{\mathbb{N}}$ . Suppose that  $\{T(u_j)\}_{j=1}^{\infty}$  is *not* convergent in  $Y$ . Then  $\{T(u_j)\}_{j=1}^{\infty}$  cannot be a Cauchy sequence in  $Y$  and hence, there exists  $a > 0$  such that, for every  $j \in \mathbb{N}$ , there exist  $k_j, l_j \in \mathbb{N}$  with  $j < k_j < l_j$  such that  $\|T(u_{k_j}) - T(u_{l_j})\|_Y \geq a$ . Via this inequality we can choose for  $j = 1$  natural numbers  $1 < k_1 < l_1$ , then for  $j := 1 + l_1$  natural numbers  $1 + l_1 < k_2 < l_2$  and so on, such that  $1 < k_1 < l_1 < k_2 < l_2 < k_3 < l_3 \dots$  and, for *these* natural numbers  $\{k_n, l_n\}_{n=1}^{\infty}$ , we have

$$\|T(u_{k_n}) - T(u_{l_n})\|_Y \geq a, \quad n \in \mathbb{N}. \quad (2.3)$$

Then  $z_n := u_{k_n} - u_{l_n}$ , for  $n \in \mathbb{N}$ , is a bounded sequence in  $X$ . Since  $\lim_{j \rightarrow \infty} u_j = x$  in  $\mathbb{C}^{\mathbb{N}}$ , it follows that  $\lim_{n \rightarrow \infty} z_n = 0$  in  $\mathbb{C}^{\mathbb{N}}$ . By property (K),  $\lim_{n \rightarrow \infty} T(z_n) = 0$  in  $Y$ , that is,  $\lim_{n \rightarrow \infty} (T(u_{k_n}) - T(u_{l_n})) = 0$  in  $Y$  which contradicts (2.3). Hence,  $\{T(u_j)\}_{j=1}^{\infty}$  *does* converge in  $Y$  and is a subsequence of  $\{T(x_i)\}_{i=1}^{\infty}$ . The compactness of  $T$  is thereby verified.  $\square$

**Proposition 2.2.** *Let  $1 < p \leq q < \infty$  and  $a \in \mathcal{M}_{p,q}$ . Then the continuous multiplier operator  $M_{p,q}^a : ces(p) \rightarrow ces(q)$  is compact if and only if  $(a_n n^{\frac{1}{q} - \frac{1}{p}})_n \in c_0$ .*

*Proof.* Suppose first that  $w = (w_n)_n := (a_n n^{\frac{1}{q} - \frac{1}{p}})_n \in c_0$ . Define the element  $w_N := (w_1, \dots, w_N, 0, 0, \dots)$  for each  $N \in \mathbb{N}$  in which case  $(w - w_N) \in \ell_{\infty}$ . So,

by (2.1),  $\|M_p^w - M_p^{w_N}\|_{op} = \|M_p^{w-w_N}\|_{op} \leq \|w - w_N\|_\infty$ . Since  $w \in c_0$ , it follows that  $\lim_{N \rightarrow \infty} \|w - w_N\|_\infty = 0$  and hence,  $M_p^w : ces(p) \rightarrow ces(p)$  is compact as each  $M_p^{w_N}$ , for  $N \in \mathbb{N}$ , is a finite rank operator. Define  $v_n := n^{\frac{1}{p} - \frac{1}{q}}$ , for  $n \in \mathbb{N}$ , in which case  $v := (v_n)_n \in \mathcal{M}_{p,q}$  by Bennett's multiplier criterion mentioned above, that is,  $M_{p,q}^v : ces(p) \rightarrow ces(q)$  is continuous. Since  $M_{p,q}^a = M_{p,q}^v M_p^w$ , it follows that  $M_{p,q}^a$  is compact.

Conversely, suppose that  $M_{p,q}^a$  is a compact operator. According to (1.2), the sequence  $f_j := j^{1/p'} e_j$ , for  $j \in \mathbb{N}$ , is bounded in  $ces(p)$ . Clearly  $\{f_j\}_{j=1}^\infty$  converges to 0 in the Fréchet space  $\mathbb{C}^\mathbb{N}$ . Moreover,  $M_{p,q}^a(f_j) = j^{1/p'} a_j e_j$ , for  $j \in \mathbb{N}$ , and  $M_{p,q}^a(f_j) \rightarrow 0$  in  $\mathbb{C}^\mathbb{N}$  for  $j \rightarrow \infty$  (as the multiplier operator  $M^a : \mathbb{C}^\mathbb{N} \rightarrow \mathbb{C}^\mathbb{N}$  given by  $x \mapsto ax$  is continuous). Applying Lemma 2.1 to the setting  $X := ces(p)$ ,  $Y := ces(q)$  and the continuous multiplier operator  $T = M^a : \mathbb{C}^\mathbb{N} \rightarrow \mathbb{C}^\mathbb{N}$  (whose restriction to  $X$  is  $M_{p,q}^a$ ), it follows that  $\{M_{p,q}^a(f_j)\}_{j=1}^\infty$  actually converges to 0 in  $ces(q)$ , that is,  $\lim_{j \rightarrow \infty} j^{1/p'} |a_j| \cdot \|e_j\|_{ces(q)} = \lim_{j \rightarrow \infty} \|j^{1/p'} a_j e_j\|_{ces(q)} = 0$ . On the other hand, (1.2) implies that  $A_q \leq j^{1/p'} \|e_j\|_{ces(q)} \leq B_q$  for  $j \in \mathbb{N}$ . It follows that  $\lim_{j \rightarrow \infty} j^{1/p'} |a_j| / j^{1/q'} = 0$ . Since  $\frac{1}{p'} - \frac{1}{q'} = \frac{1}{q} - \frac{1}{p}$  we can conclude that  $(a_n n^{\frac{1}{q} - \frac{1}{p}})_n \in c_0$ .  $\square$

For the case when  $p = q$  and  $a \in \mathcal{M}_p = \ell_\infty$ , Proposition 2.2 implies that the multiplier operator  $M_a^p : ces(p) \rightarrow ces(p)$  is compact if and only if  $a \in c_0$ .

To treat the cases when  $p > q$  we recall, for each  $r > 1$ , the Banach space

$$d(r) := \{x \in \mathbb{C}^\mathbb{N} : \|x\|_{d(r)} := \|\widehat{x}\|_r < \infty\},$$

where  $\widehat{x} = (\widehat{x}_n)_n := (\sup_{k \geq n} |x_k|)_n$  and  $\|\widehat{x}\|_r$  is its norm in  $\ell_r$ , [3, pp.3-4].

**Lemma 2.3.** *Let  $1 < r < \infty$  and  $x \in d(r)$ . Then  $\lim_{N \rightarrow \infty} \|x - x^{(N)}\|_{d(r)} = 0$ , where  $x^{(N)} := (x_1, \dots, x_N, 0, 0, \dots)$  for each  $N \in \mathbb{N}$ .*

*Proof.* Given  $N \in \mathbb{N}$  observe that  $x - x^{(N)} = (0, \dots, 0, x_{N+1}, x_{N+2}, \dots)$  and hence,  $(x - x^{(N)})^\wedge = (\widehat{x}_{N+1}, \dots, \widehat{x}_{N+1}, \widehat{x}_{N+2}, \dots)$  where the first  $(N+1)$ -coordinates are constantly  $\widehat{x}_{N+1}$ . It follows that

$$\|x - x^{(N)}\|_{d(r)}^r = (N+1)(\widehat{x}_{N+1})^r + \sum_{n=N+2}^\infty (\widehat{x}_n)^r, \quad N \in \mathbb{N}. \quad (2.4)$$

Since  $((\widehat{x}_n)^r)_n$  is a decreasing sequence of non-negative terms which belongs to  $\ell_1$ , it is classical that  $\lim_{n \rightarrow \infty} n(\widehat{x}_n)^r = 0$ , [14, § 3.3 Theorem 1]. Let  $\epsilon > 0$ . Choose  $K \in \mathbb{N}$  such that  $n(\widehat{x}_n)^r < \frac{\epsilon^r}{2}$  and  $\sum_{n=K}^\infty (\widehat{x}_n)^r < \frac{\epsilon^r}{2}$  for all  $n \geq K$ . It follows from (2.4) that  $\|x - x^{(N)}\|_{d(r)}^r < \epsilon^r$  for all  $N \geq K$ . The proof is thereby complete.  $\square$

Let  $1 < q < p < \infty$  and choose  $r$  according to  $\frac{1}{r} = \frac{1}{q} - \frac{1}{p}$ . Then it follows from table 32 on p.70 of [3] that

$$\mathcal{M}_{p,q} = d(r). \quad (2.5)$$

**Lemma 2.4.** *Let  $1 < q < p < \infty$  and  $r$  satisfy  $\frac{1}{r} = \frac{1}{q} - \frac{1}{p}$ . Then there exists a constant  $D_{p,q} > 0$  such that*

$$\|M_{p,q}^a\|_{op} \leq D_{p,q} \|a\|_{d(r)}, \quad a \in \mathcal{M}_{p,q} = d(r).$$

*Proof.* For Banach spaces  $X, Y$  let  $\mathcal{L}(X, Y)$  denote the Banach space of all continuous linear operators from  $X$  into  $Y$ , equipped with the operator norm  $\|\cdot\|_{op}$ . According to (2.5) the linear map  $\Phi : d(r) \rightarrow \mathcal{L}(ces(p), ces(q))$  specified by  $\Phi(a) := M_{p,q}^a$  is well defined. To establish the existence of  $D_{p,q}$  it suffices to show that  $\Phi$  has closed graph. This is a standard argument after noting that convergence of a sequence in  $d(r)$  implies its coordinatewise convergence.  $\square$

The following result shows, for  $p > q > 1$ , that *every* multiplier operator  $M_{p,q}^a$  for  $a \in \mathcal{M}_{p,q}$  is compact.

**Proposition 2.5.** *Let  $p > q > 1$ . For  $a \in \mathbb{C}^{\mathbb{N}}$  the following assertions are equivalent.*

- (i)  $a \in \mathcal{M}_{p,q}$ , that is,  $M_{p,q}^a : ces(p) \rightarrow ces(q)$  is continuous.
- (ii)  $M_{p,q}^a : ces(p) \rightarrow ces(q)$  is compact.
- (iii)  $a \in d(r)$  where  $\frac{1}{r} = \frac{1}{q} - \frac{1}{p}$ .

*Proof.* (i)  $\iff$  (iii) is precisely the characterization (2.5) of Bennett.

(ii)  $\implies$  (i) is clear as every compact linear operator is continuous.

(iii)  $\implies$  (ii). Let  $a^{(N)} := (a_1, \dots, a_N, 0, 0, \dots)$  for  $N \in \mathbb{N}$ . Then  $a - a^{(N)} \in d(r)$  for  $N \in \mathbb{N}$  and  $\lim_{N \rightarrow \infty} \|a - a^{(N)}\|_{d(r)} = 0$ ; see Lemma 2.3. By (2.5) the operators  $M_{p,q}^a, M_{p,q}^{a^{(N)}}$  and  $M_{p,q}^{a - a^{(N)}} = M_{p,q}^a - M_{p,q}^{a^{(N)}}$  all belong to  $\mathcal{L}(ces(p), ces(q))$ . Lemma 2.4 yields that  $\|M_{p,q}^a - M_{p,q}^{a^{(N)}}\|_{op} \leq D_{p,q} \|a - a^{(N)}\|_{d(r)}$ , for  $N \in \mathbb{N}$ . Hence,  $M_{p,q}^a$  is compact as each operator  $M_{p,q}^{a^{(N)}}$  has finite rank.  $\square$

We now consider further properties of multiplier operators for the case when  $p = q$ . The space  $\mathcal{L}(ces(p), ces(p))$  is simply denoted by  $\mathcal{L}(ces(p))$ .

**Lemma 2.6.** *Let  $1 < p < \infty$ . Then*

$$\|M_p^a\|_{op} = \|a\|_{\infty}, \quad a \in \ell_{\infty} = \mathcal{M}_p. \quad (2.6)$$

*Proof.* Just prior to Proposition 2.2 it was noted that  $\|M_p^a\|_{op} \leq \|a\|_{\infty}$ . On the other hand, since  $M_p^a(e_j) = a_j e_j$  for  $j \in \mathbb{N}$ , it is clear that the *point spectrum*  $\sigma_{pt}(M_p^a)$ , consisting of all the eigenvalues of  $M_p^a$ , satisfies

$$a(\mathbb{N}) := \{a_j : j \in \mathbb{N}\} \subseteq \sigma_{pt}(M_p^a) \subseteq \sigma(M_p^a).$$

Then the spectral radius inequality for operators, [10, Ch. VII, Lemma 3.4], yields

$$\|M_p^a\|_{op} \geq r(M_p^a) := \sup\{|\lambda| : \lambda \in \sigma(M_p^a)\} \geq \sup_{j \in \mathbb{N}} |a_j| = \|a\|_{\infty}.$$

$\square$

The spectrum of multiplier operators in  $\mathcal{L}(ces(p))$  can now be determined.

**Proposition 2.7.** *Let  $1 < p < \infty$ . Then*

$$\sigma(M_p^a) = \overline{a(\mathbb{N})} = \overline{\{a_j : j \in \mathbb{N}\}}, \quad a \in \mathcal{M}_p. \quad (2.7)$$

*Proof.* From the proof of Lemma 2.6 we have  $a(\mathbb{N}) \subseteq \sigma_{pt}(M_p^a) \subseteq \sigma(M_p^a)$ . Since  $\sigma(M_p^a)$  is a closed set in  $\mathbb{C}$ , it follows that  $\overline{a(\mathbb{N})} \subseteq \sigma(M_p^a)$ .

Suppose that  $\lambda \notin \overline{a(\mathbb{N})}$ . Then  $b = (b_n)_n$  with  $b_n := \frac{1}{\lambda - a_n}$  for  $n \in \mathbb{N}$  belongs to  $\ell_{\infty} = \mathcal{M}_p$ . Using the formula  $\lambda I - M_p^a = M_p^{\lambda 1 - a}$  (with  $I$  the identity operator

on  $ces(p)$  and  $\mathbf{1} := (1, 1, 1, \dots)$  it is routine to check that  $(\lambda I - M_p^a)M_p^b = I = M_p^b(\lambda I - M_p^a)$ . Hence,  $\lambda I - M_p^a$  is invertible in  $\mathcal{L}(ces(p))$  and so  $\lambda$  lies in the resolvent set of  $M_p^a$ . This establishes the inclusion  $\sigma(M_p^a) \subseteq \overline{a(\mathbb{N})}$ .  $\square$

For a Banach space  $X$ , an operator  $T \in \mathcal{L}(X) := \mathcal{L}(X, X)$  is *mean ergodic* (resp. *uniformly mean ergodic*) if its sequence of Cesàro averages

$$T_{[n]} := \frac{1}{n} \sum_{m=1}^n T^m, \quad n \in \mathbb{N}, \quad (2.8)$$

converges to some operator  $P \in \mathcal{L}(X)$  in the strong operator topology  $\tau_s$ , i.e.,  $\lim_{n \rightarrow \infty} T_{[n]}(x) = P(x)$  for each  $x \in X$ , [10, Ch. VIII] (resp. in the operator norm topology  $\tau_b$ ). According to [10, Ch. VIII, Corollary 5.2] there then exists the direct sum decomposition

$$X = \text{Ker}(I - T) \oplus \overline{(I - T)(X)}. \quad (2.9)$$

Moreover, we have the identities  $(I - T)T_{[n]} = T_{[n]}(I - T) = \frac{1}{n}(T - T^{n+1})$ , for  $n \in \mathbb{N}$ , and, setting  $T_{[0]} := I$ , that

$$\frac{1}{n}T^n = T_{[n]} - \frac{(n-1)}{n}T_{[n-1]}, \quad n \in \mathbb{N}. \quad (2.10)$$

An operator  $T \in \mathcal{L}(X)$  is called *power bounded* if  $\sup_{n \in \mathbb{N}} \|T^n\|_{op} < \infty$ . In this case it is clear that necessarily  $\lim_{n \rightarrow \infty} \frac{\|T^n\|_{op}}{n} = 0$ . A standard reference for mean ergodic operators is [15]. Finally, define  $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$ .

**Proposition 2.8.** *Let  $1 < p < \infty$  and  $a \in \mathcal{M}_p = \ell_\infty$ . The following statements are equivalent.*

- (i)  $\|a\|_\infty \leq 1$ .
- (ii) *The multiplier operator  $M_p^a \in \mathcal{L}(ces(p))$  is power bounded.*
- (iii) *The multiplier operator  $M_p^a \in \mathcal{L}(ces(p))$  is mean ergodic.*
- (iv) *The spectrum  $\sigma(M_p^a) \subseteq \overline{\mathbb{D}}$ .*
- (v)  $\lim_{n \rightarrow \infty} \frac{(M_p^a)^n}{n} = 0$  *relative to  $\tau_s$  in  $\mathcal{L}(ces(p))$ .*

*Proof.* (i)  $\implies$  (ii). Since  $\mathcal{M}_p$  is an algebra under coordinatewise multiplication in  $\mathbb{C}^{\mathbb{N}}$  we have  $(M_p^a)^n = M_p^{a^n}$  (where  $a^n := (a_j^n)_j$  for  $a = (a_j)_j$ ) and so, via Lemma 2.6,  $\|(M_p^a)^n\|_{op} = \|M_p^{a^n}\|_{op} = \|a^n\|_\infty \leq 1$ ,  $n \in \mathbb{N}$ .

(ii)  $\implies$  (iii). Power bounded operators in reflexive Banach spaces are always mean ergodic, [19].

(i)  $\implies$  (iv). Since  $\|a\|_\infty = \sup\{|\lambda| : \lambda \in a(\mathbb{N})\} \leq 1$ , (2.7) implies  $\sigma(M_p^a) \subseteq \overline{\mathbb{D}}$ .

(iv)  $\implies$  (i). Clear from (2.7).

(iii)  $\implies$  (i). Suppose that  $\|a\|_\infty > 1$ . Then there exists  $k \in \mathbb{N}$  such that  $|a_k| > 1$ . Since  $(M_p^a)^n(e_k) = a_k^n e_k$  for  $n \in \mathbb{N}$ , it follows that

$$\frac{\|(M_p^a)^n(e_k)\|_{ces(p)}}{n} = \frac{|a_k|^n}{n} \|e_k\|_{ces(p)}, \quad n \in \mathbb{N},$$

with  $|a_k| > 1$ . Hence, the sequence  $\{\frac{(M_p^a)^n}{n}\}_{n=1}^\infty$  cannot converge to 0 in  $\mathcal{L}(ces(p))$  in the topology  $\tau_s$ , thereby violating a necessary condition for  $M_p^a$  to be mean ergodic (see (2.10)); contradiction! So,  $\|a\|_\infty \leq 1$ .



(iii)  $\implies$  (v). This follows from (2.10).

(v)  $\implies$  (i). See the proof of (iii)  $\implies$  (i).  $\square$

In view of Proposition 2.8 we may assume that  $\|a\|_\infty \leq 1$  and  $M_p^a$  is power bounded whenever it is mean ergodic. Then  $\lim_{n \rightarrow \infty} \frac{\|(M_p^a)^n\|_{op}}{n} = 0$  and so, by a well known result of Lin, [17], the *uniform* mean ergodicity of  $M_p^a$  is equivalent to the range  $(I - M_p^a)(ces(p)) = (M_p^{1-a})(ces(p))$  of  $I - M_p^a$  being a *closed* subspace of  $ces(p)$ .

Given  $w \in \mathbb{C}^{\mathbb{N}}$  define its *support* by  $S(w) := \{n \in \mathbb{N} : w_n \neq 0\}$  in which case  $w\chi_{S(w)} = w$  as elements of  $\mathbb{C}^{\mathbb{N}}$ . If  $w \in \ell_\infty$ , then for each  $1 < p < \infty$  we have

$$M_p^w(ces(p)) := \{wx : x \in ces(p)\} = \{w\chi_{S(w)}x : x \in ces(p)\}. \quad (2.11)$$

We will also require the *closed* subspace of  $ces(p)$  which is the range of the continuous projection operator  $M_p^{\chi_{S(w)}}$ , i.e.,

$$X_{w,p} := \{\chi_{S(w)}x : x \in ces(p)\} = M_p^{\chi_{S(w)}}(ces(p)). \quad (2.12)$$

It is routine to check that  $X_{w,p}$  is  $M_p^w$ -invariant. Let  $\tilde{M}_p^w : X_{w,p} \rightarrow X_{w,p}$  be the restriction of  $M_p^w$  so that  $\tilde{M}_p^w \in \mathcal{L}(X_{w,p})$ . Since  $w_n \neq 0$  for each  $n \in S(w)$ , it follows that  $\tilde{M}_p^w$  is *injective*. Hence,  $\tilde{M}_p^w$  is a vector space isomorphism of  $X_{w,p}$  onto its range  $\tilde{M}_p^w(X_{w,p})$  in  $X_{w,p}$ . By (2.11) and (2.12) it is clear that  $\tilde{M}_p^w(X_{w,p}) = M_p^w(ces(p))$  whenever  $M_p^w(ces(p))$  is *closed* in  $ces(p)$ .

**Lemma 2.9.** *Let  $w \in \ell_\infty$  and  $1 < p < \infty$ . If the range  $M_p^w(ces(p))$  is closed in  $ces(p)$ , then  $0 \notin \overline{(w\chi_{S(w)})(\mathbb{N})}$ .*

*Proof.* By the discussion prior to Lemma 2.9,  $\tilde{M}_p^w(X_{w,p})$  is a Banach space for the norm  $\|\cdot\|_{ces(p)}$  restricted to the closed subspace  $M_p^w(ces(p)) = \tilde{M}_p^w(X_{w,p})$  of  $ces(p)$ . Via the open mapping theorem  $\tilde{M}_p^w : X_{w,p} \rightarrow X_{w,p}$  is then a Banach space isomorphism. So, there exists  $T \in \mathcal{L}(X_{w,p})$  satisfying

$$\tilde{M}_p^w T = I = T \tilde{M}_p^w. \quad (2.13)$$

For each  $n \in S(w)$  the basis vector  $e_n \in X_{w,p}$ . Define  $y^{(n)} := T(e_n)$  for  $n \in S(w)$ . It follows from (2.13) that  $e_n = w y^{(n)}$ . Since the  $k$ -th coordinate of  $e_n$  is 0 for  $k \in \mathbb{N} \setminus \{n\}$ , the same is true of  $w y^{(n)}$ . Accordingly,  $e_n = w_n y^{(n)}$  and so  $T(e_n) = y^{(n)} = \frac{1}{w_n} e_n$  for each  $n \in S(w)$ . But,  $\{e_n : n \in S(w)\}$  is a basis for  $X_{w,p}$  and  $T \in \mathcal{L}(X_{w,p})$  from which we can deduce that  $T(x) = w^{-1}x$  for all  $x \in X_{w,p}$  (with  $w^{-1} := (\frac{1}{w_n})_{n \in S(w)}$ ). Setting  $v := w^{-1}\chi_{S(w)} \in \mathbb{C}^{\mathbb{N}}$ , it follows that

$$vx = T(\chi_{S(w)}x) = TM_p^{\chi_{S(w)}}(x) = (jTM_p^{\chi_{S(w)}})(x), \quad (2.14)$$

for each  $x \in ces(p)$ , with  $j : X_{w,p} \rightarrow ces(p)$  being the natural inclusion map and (2.14) holding as equalities in  $\mathbb{C}^{\mathbb{N}}$ . But,  $jTM_p^{\chi_{S(w)}} \in \mathcal{L}(ces(p))$  if we interpret  $M_p^{\chi_{S(w)}} : ces(p) \rightarrow X_{w,p}$  and hence, (2.14) actually holds in  $ces(p)$ . That is,  $M_v = jTM_p^{\chi_{S(w)}}$  belongs to  $\mathcal{L}(ces(p))$  which means that  $v \in \mathcal{M}_p$  or, equivalently, that  $v \in \ell_\infty$ . This implies the desired conclusion.  $\square$

**Proposition 2.10.** *Let  $1 < p < \infty$  and  $a \in \mathcal{M}_p = \ell_\infty$ . The following assertions are equivalent.*

- (i)  $M_p^a$  is uniformly mean ergodic.
- (ii)  $\|a\|_\infty \leq 1$  and  $1 \notin \overline{a(\mathbb{N}) \setminus \{1\}}$ .

*Proof.* (i)  $\implies$  (ii). By the discussion immediately after Proposition 2.8 we know that (i) implies  $\|a\|_\infty \leq 1$  and the range of  $I - M_p^a = M_p^{1-a}$  is closed in  $\text{ces}(p)$ . Then  $w := \mathbf{1} - a$  satisfies the hypothesis of Lemma 2.9. Accordingly,  $0 \notin \overline{((1-a)\chi_{S(1-a)})(\mathbb{N})}$  which is equivalent to  $1 \notin \overline{a(\mathbb{N}) \setminus \{1\}}$ .

(ii)  $\implies$  (i). The condition  $1 \notin \overline{a(\mathbb{N}) \setminus \{1\}}$  implies that  $u := (\mathbf{1} - a)^{-1}\chi_{S(1-a)}$  belongs to  $\ell_\infty$ . In particular,  $M_p^u \in \mathcal{L}(\text{ces}(p))$ . Moreover,  $w := (\mathbf{1} - a) \in \ell_\infty$  satisfies (in  $\mathcal{L}(\text{ces}(p))$ ) the identity  $M_p^w M_p^u = M_p^{X_{S(w)}}$ . It follows from (2.11) that  $M_p^w(\text{ces}(p)) \subseteq M_p^{X_{S(w)}}(\text{ces}(p)) = X_{w,p}$  (see (2.12)). It is routine to verify the reverse inclusion and so actually  $M_p^w(\text{ces}(p)) = X_{w,p}$ . In particular, the range of  $M_p^{1-a} = I - M_p^a$  is closed in  $\text{ces}(p)$ . Since  $\|a\|_\infty \leq 1$  implies that  $M_p^a$  is power bounded (cf. Proposition 2.8), it follows that  $\lim_{n \rightarrow \infty} \frac{\|(M_p^a)^n\|_{op}}{n} = 0$ . Hence, the criterion of Lin can be applied to conclude that  $M_p^a$  is uniformly mean ergodic.  $\square$

An example of a multiplier operator which is mean ergodic but not uniformly ergodic is  $M_p^a$  with  $a := (1 - \frac{1}{n})_n$ .

In (2.9), with  $X := \text{ces}(p)$  and  $T := M_p^a$  (for  $\|a\|_\infty \leq 1$ ), note that

$$\text{Ker}(I - M_p^a) = \{x \in \text{ces}(p) : x_n = 0 \text{ for all } n \in \mathbb{N} \text{ with } a_n \neq 1\}.$$

Concerning the linear dynamics of a continuous linear operator  $T : X \rightarrow X$  defined on a separable, locally convex Hausdorff space  $X$ , recall that  $T$  is *hypercyclic* if there exists  $x \in X$  whose orbit  $\{T^n x : n \in \mathbb{N}_0 := \{0\} \cup \mathbb{N}\}$  is dense in  $X$ . If, for some  $x \in X$ , the *projective orbit*  $\{\lambda T^n x : \lambda \in \mathbb{C}, n \in \mathbb{N}_0\}$  is dense in  $X$ , then  $T$  is called *supercyclic*. Since this projective orbit coincides with  $\cup_{n=0}^\infty T^n(\text{span}\{x\})$  we see that supercyclic is the same as 1-supercyclic as defined in [4]. Hypercyclicity always implies supercyclicity but not conversely.

**Lemma 2.11.** *Let  $a = (a_n)_n \in \mathbb{C}^{\mathbb{N}}$  and define the multiplier operator  $M^a : \mathbb{C}^{\mathbb{N}} \rightarrow \mathbb{C}^{\mathbb{N}}$  by  $M^a(x) := ax$  for  $x \in \mathbb{C}^{\mathbb{N}}$ . Then  $M^a$  is not supercyclic in the Fréchet space  $\mathbb{C}^{\mathbb{N}}$ .*

*Proof.* The continuous dual space  $(\mathbb{C}^{\mathbb{N}})'$  of  $\mathbb{C}^{\mathbb{N}}$  is the space  $\varphi$ . Clearly  $M^a$  is continuous on  $\mathbb{C}^{\mathbb{N}}$  and its dual operator  $(M^a)' : \varphi \rightarrow \varphi$  is given by  $(M^a)'(y) = ay$  for  $y \in \varphi$ . Moreover, it follows from  $(M^a)'(e_j) = a_j e_j$  for  $j \in \mathbb{N}$  that each canonical basis vector  $e_j \in \varphi$  is an eigenvector of  $(M^a)'$ . According to Theorem 2.1 of [4] the operator  $M^a \in \mathcal{L}(\mathbb{C}^{\mathbb{N}})$  cannot be supercyclic.  $\square$

Given  $1 < p < \infty$  and  $a \in \mathbb{C}^{\mathbb{N}}$  the multiplier operator  $M^a : \mathbb{C}^{\mathbb{N}} \rightarrow \mathbb{C}^{\mathbb{N}}$  maps  $\ell_p$  into  $\ell_p$  if and only if  $a \in \ell_\infty$ , [3, table 1, p.69]. Denote this restricted operator by  $M_{\{p\}}^a : \ell_p \rightarrow \ell_p$ .

**Proposition 2.12.** *Let  $1 < p < \infty$  and  $a \in \ell_\infty$ .*

- (i) *The multiplier operator  $M_{\{p\}}^a \in \mathcal{L}(\ell_p)$  is not supercyclic.*
- (ii) *The multiplier operator  $M_p^a \in \mathcal{L}(\text{ces}(p))$  is not supercyclic.*

*Proof.* (i) Since  $\ell_p$  is dense in  $\mathbb{C}^{\mathbb{N}}$  (as it contains  $\varphi$ ) and the natural inclusion  $\ell_p \hookrightarrow \mathbb{C}^{\mathbb{N}}$  is continuous, the supercyclicity of  $M_{\{p\}}^a \in \mathcal{L}(\ell_p)$  would imply the

supercyclicity of  $M^a \in \mathcal{L}(\mathbb{C}^{\mathbb{N}})$ , which is not the case (cf. Lemma 2.11). Hence,  $M_{\{p\}}^a$  is not supercyclic.

(ii) Since  $ces(p)$  is dense in  $\mathbb{C}^{\mathbb{N}}$  and the inclusion  $ces(p) \hookrightarrow \mathbb{C}^{\mathbb{N}}$  is continuous, the analogous argument to that of part (i) applies.  $\square$

### 3. THE CESÀRO OPERATORS

Consider a pair  $1 < p, q < \infty$ . Denote by  $C_{c(p),c(q)}$  (resp.  $C_{c(p),q}$ ;  $C_{p,c(q)}$ ;  $C_{p,q}$ ) the Cesàro operator  $C$  when it acts from  $ces(p)$  into  $ces(q)$  (resp.  $ces(p)$  into  $\ell_q$ ;  $\ell_p$  into  $ces(q)$ ;  $\ell_p$  into  $\ell_q$ ), whenever this operator exists. The closed graph theorem then ensures that this operator is continuous. We use the analogous notation for the natural inclusion maps  $i_{c(p),c(q)}$ ;  $i_{c(p),q}$ ;  $i_{p,c(q)}$ ;  $i_{p,q}$  whenever they exist. The main aim of this section is to identify all pairs  $p, q$  for which these inclusion operators and Cesàro operators *do exist* and, for such pairs, to determine whether or not the operator is *compact*. For each  $1 < p < \infty$ , the spectrum of  $C_{p,p} \in \mathcal{L}(\ell_p)$  is well known, [16, Theorem 2], [20, Theorem 4], and coincides with the spectrum of  $C_{c(p),c(p)} \in \mathcal{L}(ces(p))$ ; see (1.6).

We begin with a preliminary result.

**Lemma 3.1.** *Let  $1 < p < \infty$ .*

- (i) *The operator  $C_{c(p),p} : ces(p) \rightarrow \ell_p$  exists and satisfies  $\|C_{c(p),p}\|_{op} \leq 1$ .*
- (ii) *The largest amongst the class of spaces  $\ell_r$ , for  $1 \leq r < \infty$ , which satisfy  $\ell_r \subseteq ces(p)$  is the space  $\ell_p$ .*

*Proof.* (i) Follows from the discussion immediately prior to Proposition 1.1.

(ii) See Remark 2.2(iii) of [6].  $\square$

**Proposition 3.2.** *Let  $1 < p, q < \infty$  be an arbitrary pair.*

- (i) *The inclusion map  $i_{p,q} : \ell_p \rightarrow \ell_q$  exists if and only if  $p \leq q$ , in which case  $\|i_{p,q}\|_{op} = 1$ .*
- (ii) *The inclusion map  $i_{p,c(q)} : \ell_p \rightarrow ces(q)$  exists if and only if  $p \leq q$ , in which case  $\|i_{p,c(q)}\|_{op} \leq q'$ .*
- (iii) *The inclusion map  $i_{c(p),c(q)} : ces(p) \rightarrow ces(q)$  exists if and only if  $p \leq q$ , in which case  $\|i_{c(p),c(q)}\|_{op} \leq 1$ .*
- (iv)  *$ces(p) \not\subseteq \ell_q$  for all choices of  $1 < p, q < \infty$ .*

*Proof.* (i) This is well known.

(ii) Lemma 3.1(ii) shows that  $\ell_p \not\subseteq ces(q)$  if  $p > q$ .

Let  $p \leq q$ . For  $x \in \ell_p$  we have  $\|i_{p,c(q)}(x)\|_{ces(q)} = \|x\|_{ces(q)}$  with

$$\|x\|_{ces(q)} := \|C(|x|)\|_q \leq \|C_{q,q}\|_{op} \|x\|_q \leq \|C_{q,q}\|_{op} \|x\|_p,$$

where the last inequality follows via part (i). Since  $\|C_{q,q}\|_{op} = q'$ , [13, Theorem 326], the desired conclusion is clear.

(iii) If  $p > q$ , then  $ces(p) \not\subseteq ces(q)$ . Indeed, by Lemma 3.1(ii) there exists  $y \in \ell_p$  with  $y \notin ces(q)$ . By part (ii),  $y \in ces(p)$ .

Let  $p \leq q$ . Fix  $x \in ces(p)$ . By Lemma 3.1(i) we have  $C(|x|) \in \ell_p$  and hence, by part (i),  $C(|x|) \in \ell_q$ . Accordingly,

$$\|x\|_{ces(q)} := \|C(|x|)\|_q \leq \|C(|x|)\|_p = \|x\|_{ces(p)}.$$

This shows that  $i_{c(p),c(q)}$  exists and  $\|i_{c(p),c(q)}\|_{op} \leq 1$ .

(iv) For arbitrary  $1 < p < \infty$  there exists  $x \in ces(p)$  with  $x \notin \ell_\infty$ , [6, Remark 2.2(ii)]. Then also  $x \notin \ell_q$  for every  $1 < q < \infty$ .  $\square$

If  $1 < p < q < \infty$ , then the inclusion  $ces(p) \subseteq ces(q)$  as guaranteed by Proposition 3.2(iii) is actually *proper*. Indeed, by Lemma 3.1(ii) there exists  $x \in \ell_q$  with  $x \notin ces(p)$ . Then  $y := C(|x|) \in ces(q)$ ; see Proposition 3.2(ii). But,  $x \notin ces(p)$  implies  $|x| \notin ces(p)$  and so  $y \notin ces(p)$ ; see Proposition 1.1. That  $ces(p) \subsetneq ces(q)$  also follows from the next result.

**Proposition 3.3.** *Let  $1 < p, q < \infty$  with  $p \neq q$ . Then  $ces(p)$  is not Banach space isomorphic to  $ces(q)$ .*

*Proof.* According to (1.3) the closed (sectional) subspace

$$Y := \{x \in ces(p) : x_k = 0 \text{ unless } k = 2^j \text{ for some } j = 0, 1, 2, \dots\}$$

is isomorphic to a weighted  $\ell_p$ -space (as  $\|x\|_{[p]} = (\sum_{j=0}^{\infty} 2^{j(1-p)} |x_{2^j}|^p)^{1/p}$  for  $x \in Y$ ) and hence, also isomorphic to  $\ell_p$ . Suppose that  $ces(p)$  is isomorphic to  $ces(q)$ . Then  $\ell_p$  is isomorphic to a closed subspace of  $ces(q)$ . Since  $ces(q)$  is isomorphic to a closed subspace of the infinite  $\ell_q$ -sum  $\ell_q(E_n)$  with each  $E_n, n \in \mathbb{N}$ , a finite dimensional space, [21, Theorem 1], it follows that  $\ell_p$  is isomorphic to a closed subspace of  $\ell_q(E_n)$ . But,  $X := \ell_p$  has a shrinking basis (it is reflexive) and so is isomorphic to  $\ell_q(D_k)$  with each  $D_k, k \in \mathbb{N}$ , a finite dimensional space, [18, Theorem 2.d.1]. Since  $\ell_q$  is clearly isomorphic to a closed (sectional) subspace of  $\ell_q(D_k)$ , it follows that  $\ell_q$  is isomorphic to a closed subspace of  $\ell_p$  with  $p \neq q$ , which is *not* the case, [18, p.54]. So,  $ces(p)$  is not isomorphic to  $ces(q)$ .  $\square$

Via Proposition 3.2 we now determine which inclusion maps are compact.

**Proposition 3.4.** *Let  $1 < p \leq q < \infty$  be arbitrary.*

- (i) *The inclusion  $i_{p,q} : \ell_p \rightarrow \ell_q$  is never compact.*
- (ii) *The inclusion  $i_{c(p),c(q)} : ces(p) \rightarrow ces(q)$  is compact if and only if  $p < q$ .*
- (iii) *The inclusion  $i_{p,c(q)} : \ell_p \rightarrow ces(q)$  is compact if and only if  $p < q$ .*

*Proof.* (i) The image under  $i_{p,q}$  of the unit basis vectors  $\{e_n : n \in \mathbb{N}\} \subseteq \ell_p$  has no Cauchy subsequence (hence, no convergent subsequence) in  $\ell_q$  because  $\|e_n - e_m\|_q = 2^{1/q}$  for all  $n \neq m$ .

(ii) Since  $i_{c(p),c(p)}$  is the identity operator on  $ces(p)$  it is surely not compact.

So, assume that  $p < q$ . Then the constant element  $a := \mathbf{1}$  satisfies  $(a_n n^{\frac{1}{q} - \frac{1}{p}})_n = (n^{\frac{1}{q} - \frac{1}{p}})_n \in c_0$  and hence, by Proposition 2.2 the multiplier operator  $M_{p,q}^{\mathbf{1}} \in \mathcal{L}(ces(p), ces(q))$  is compact. But,  $M_{p,q}^{\mathbf{1}}$  is precisely the inclusion operator  $i_{c(p),c(q)}$ .

(iii) Since  $C_{p,p}$  is not compact (by (1.6) its spectrum is an uncountable set) and  $C_{p,p} = C_{c(p),p} i_{p,c(p)}$ , also  $i_{p,c(p)}$  fails to be compact. So, assume that  $p < q$ . Then the factorization  $i_{p,c(q)} = i_{c(p),c(q)} i_{p,c(p)}$  together with the compactness of  $i_{c(p),c(q)}$  (see part (ii)) shows that  $i_{p,c(q)}$  is compact.  $\square$

Now that the continuity and compactness of the various inclusion operators are completely determined we can do the same for the Cesàro operators  $C : X \rightarrow Y$  where  $X, Y \in \{\ell_p, ces(q) : p, q \in (1, \infty)\}$ . We begin with continuity.

**Proposition 3.5.** *Let  $1 < p, q < \infty$  be an arbitrary pair.*

- (i)  *$C_{p,q} : \ell_p \rightarrow \ell_q$  exists if and only if  $p \leq q$ , in which case  $\|C_{p,q}\|_{op} \leq p'$ .*

- (ii)  $C_{p,c(q)} : \ell_p \rightarrow ces(q)$  exists if and only if  $p \leq q$ , in which case  $\|C_{p,c(q)}\|_{op} \leq p'q'$ .
- (iii)  $C_{c(p),c(q)} : ces(p) \rightarrow ces(q)$  exists if and only if  $p \leq q$ , in which case  $\|C_{c(p),c(q)}\|_{op} \leq q'$ .
- (iv)  $C_{c(p),q} : ces(p) \rightarrow \ell_q$  exists if and only if  $p \leq q$ , in which case  $\|C_{c(p),q}\|_{op} \leq 1$ .

*Proof.* (ii) Let  $p > q$ . According to Lemma 3.1(ii) there exists  $x \in \ell_p \setminus ces(q)$ , in which case also  $|x| \in \ell_p \setminus ces(q)$ . If  $C(|x|) \in ces(q)$ , then Proposition 1.1 implies that also  $|x| \in ces(q)$ ; contradiction. So,  $|x| \in \ell_p$  but  $C(|x|) \notin ces(q)$ , i.e., " $C_{p,c(q)}$ " does not exist.

Suppose then that  $p \leq q$ . Then  $C_{p,p} \in \mathcal{L}(\ell_p)$  exists with  $\|C_{p,p}\|_{op} = p'$  and  $i_{p,c(q)} : \ell_p \rightarrow ces(q)$  exists with  $\|i_{p,c(q)}\|_{op} \leq q'$  (cf. Proposition 3.2(ii)). Hence, the composition  $C_{p,c(q)} = i_{p,c(q)} C_{p,p}$  exists and  $\|C_{p,c(q)}\|_{op} \leq p'q'$ .

(i) Let  $p > q$ . If  $C_{p,q}$  exists, then by Proposition 3.2(ii)  $C_{p,c(q)} = i_{q,c(q)} C_{p,q}$  also exists. This contradicts part (ii) which was just proved.

So, assume that  $p \leq q$ . Then  $C_{p,p} \in \mathcal{L}(\ell_p)$  exists with  $\|C_{p,p}\|_{op} = p'$  and  $i_{p,q}$  exists with  $\|i_{p,q}\|_{op} = 1$  (cf. Proposition 3.2(i)). Hence,  $C_{p,q} = i_{p,q} C_{p,p}$  exists and  $\|C_{p,q}\|_{op} \leq p'$ .

(iii) Let  $p > q$ . If  $C_{c(p),c(q)}$  exists, then by Proposition 3.2(i) also  $C_{p,c(q)} = C_{c(p),c(q)} i_{p,c(p)}$  exists. This contradicts part (ii) above.

So, assume that  $p \leq q$ . Fix  $x \in ces(p)$ . Then also  $|x| \in ces(p)$  and so  $C(|x|) \in \ell_p \subseteq \ell_q$ ; see Lemma 3.1(i) and Proposition 3.2(i). Moreover,  $|C(x)| \in \ell_q$  as  $|C(x)| \leq C(|x|)$ . Hence,

$$\begin{aligned} \|C(x)\|_{ces(q)} &:= \|C(|C(x)|)\|_q \leq \|C_{q,q}\|_{op} \|C(x)\|_q \leq q' \|C(|x|)\|_q \\ &\leq q' \|C(|x|)\|_p = q' \|x\|_{ces(p)}. \end{aligned}$$

This shows that  $C_{c(p),c(q)}$  exists and  $\|C_{c(p),c(q)}\|_{op} \leq q'$ .

(iv) Let  $p > q$ . If  $C_{c(p),q}$  exists, then also  $C_{c(p),c(q)} = i_{q,c(q)} C_{c(p),q}$  exists (cf. Proposition 3.2(ii)). This contradicts part (iii).

Assume now that  $p \leq q$ . Since  $C_{c(p),p}$  exists with  $\|C_{c(p),p}\|_{op} \leq 1$  (cf. Lemma 3.1(i)) and  $i_{p,q}$  exists with  $\|i_{p,q}\|_{op} = 1$  (cf. Proposition 3.2(i)), it follows that the composition  $C_{c(p),q} = i_{p,q} C_{c(p),p}$  exists and  $\|C_{c(p),q}\|_{op} \leq 1$ .  $\square$

Concerning the proof of part (iii) of Proposition 3.5 when  $p \leq q$ , it is also clear from  $C_{c(p),c(q)} = i_{c(p),c(q)} C_{c(p),c(p)}$  that  $C_{c(p),c(q)}$  exists. However, since  $\|i_{c(p),c(q)}\|_{op} \leq 1$  (cf. Proposition 3.2(iii)) and  $\|C_{c(p),c(p)}\|_{op} = p'$ , this approach only yields  $\|C_{c(p),c(q)}\|_{op} \leq p'$  whereas the given proof of (iii) yields  $\|C_{c(p),c(q)}\|_{op} \leq q'$  which is a better estimate when  $p < q$ .

We now have all the facts needed to prove the main result of this section.

**Proposition 3.6.** *Let  $1 < p \leq q < \infty$  be arbitrary.*

- (i) *The Cesàro operator  $C_{p,q} : \ell_p \rightarrow \ell_q$  is compact if and only if  $p < q$ .*
- (ii) *The Cesàro operator  $C_{p,c(q)} : \ell_p \rightarrow ces(q)$  is compact if and only if  $p < q$ .*
- (iii) *The Cesàro operator  $C_{c(p),c(q)} : ces(p) \rightarrow ces(q)$  is compact if and only if  $p < q$ .*
- (iv) *The Cesàro operator  $C_{c(p),q} : ces(p) \rightarrow \ell_q$  is compact if and only if  $p < q$ .*

*Proof.* (i) Since  $\sigma(C_{p,p})$  is an uncountable set (see the comments prior to Lemma 3.1), it is clear that  $C_{p,p}$  is not compact. So, assume that  $p < q$ . Since  $C_{p,q} = C_{c(q),q} i_{p,c(q)}$  with  $C_{c(q),q} : ces(q) \rightarrow \ell_q$  continuous (cf. Lemma 3.1(i)) and  $i_{p,c(q)} : \ell_p \rightarrow ces(q)$  compact (by Proposition 3.4(iii)), it follows that  $C_{p,q}$  is compact.

(ii) For  $p = q$  observe that  $(C_{c(p),c(p)})^2 = C_{p,c(p)} C_{c(p),p}$ . By (1.6) and the spectral mapping theorem, [10, Ch. VII, Theorem 3.11], we see that

$$\sigma((C_{c(p),c(p)})^2) = \{\lambda^2 : |\lambda - \frac{p'}{2}| \leq \frac{p'}{2}\}$$

is an uncountable set and so  $(C_{c(p),c(p)})^2$  is not compact. Hence, also  $C_{p,c(p)}$  is not compact.

Assume then that  $p < q$ . Since the inclusion  $i_{c(p),c(q)} : ces(p) \rightarrow ces(q)$  is compact (cf. Proposition 3.4(ii)), it is clear from the factorization  $C_{p,c(q)} = i_{c(p),c(q)} C_{p,c(p)}$  that also  $C_{p,c(q)}$  is compact.

(iii) For  $p = q$  it follows from (1.6) that  $\sigma(C_{c(p),c(p)})$  is an uncountable set and so  $C_{c(p),c(p)}$  is not compact. Suppose now that  $p < q$ . Since the inclusion  $i_{c(p),c(q)} : ces(p) \rightarrow ces(q)$  is compact (by Proposition 3.4(ii)), the factorization  $C_{c(p),c(q)} = i_{c(p),c(q)} C_{c(p),c(p)}$  shows that  $C_{c(p),c(q)}$  is compact.

(iv) For  $p = q$  we have  $C_{c(p),c(p)} = i_{p,c(p)} C_{c(p),p}$ . By part (iii) the operator  $C_{c(p),c(p)}$  is not compact and hence, also  $C_{c(p),p}$  is not compact.

Assume now that  $p < q$ . Select any  $r$  satisfying  $p < r < q$ , in which case we have  $C_{c(p),q} = C_{c(r),q} i_{c(p),c(r)}$  with  $C_{c(r),q}$  continuous (by Proposition 3.5(iv)) and  $i_{c(p),c(r)}$  compact (via Proposition 3.4(ii)). Hence, also  $C_{c(p),q}$  is compact.  $\square$

Our final result concerns the mean ergodicity and linear dynamics of Cesàro operators.

**Proposition 3.7.** *Let  $1 < p < \infty$ .*

- (i) *The Cesàro operator  $C_{p,p} : \ell_p \rightarrow \ell_p$  is not power bounded, not mean ergodic and not supercyclic.*
- (ii) *The Cesàro operator  $C_{c(p),c(p)} : ces(p) \rightarrow ces(p)$  is not power bounded, not mean ergodic and not supercyclic.*

*Proof.* (i) That  $C_{p,p}$  is neither power bounded nor mean ergodic is Proposition 4.2 of [1]. It is known that the Cesàro operator  $C : \mathbb{C}^{\mathbb{N}} \rightarrow \mathbb{C}^{\mathbb{N}}$  is not supercyclic, [2, Proposition 4.3]. Since  $\ell_p$  is dense in  $\mathbb{C}^{\mathbb{N}}$  and the natural inclusion  $\ell_p \subseteq \mathbb{C}^{\mathbb{N}}$  is continuous, the supercyclicity of  $C_{p,p}$  in  $\ell_p$  would imply that  $C : \mathbb{C}^{\mathbb{N}} \rightarrow \mathbb{C}^{\mathbb{N}}$  is supercyclic. Hence,  $C_{p,p} \in \mathcal{L}(\ell_p)$  is not supercyclic.

(ii) Suppose that  $C_{c(p),c(p)}$  is mean ergodic. According to (2.10) we have  $\lim_{n \rightarrow \infty} \frac{(C_{c(p),c(p)})^n}{n} = 0$  for  $\tau_s$  in  $\mathcal{L}(ces(p))$  and hence,  $\sigma(C_{c(p),c(p)}) \subseteq \overline{\mathbb{D}}$ , [10, Ch. VIII, Lemma 8.1]. This contradicts (1.6). Hence,  $C_{c(p),c(p)}$  cannot be mean ergodic. Since power bounded operators in reflexive Banach spaces are always mean ergodic, [19], it follows that  $C_{c(p),c(p)}$  is not power bounded. Arguing as in part (i), since  $ces(p)$  is dense in  $\mathbb{C}^{\mathbb{N}}$  and the inclusion  $ces(p) \subseteq \mathbb{C}^{\mathbb{N}}$  is continuous, it follows that  $C_{c(p),c(p)}$  is not supercyclic.  $\square$

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