

# Non-commutative locally convex measures

**José Bonet**

Instituto Universitario de Matemática Pura y Aplicada IUMPA

Universidad Politécnica de Valencia

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Part of a program on **non-commutative measure theory**.

Started by **John D. Maitland Wright in 1980**.

Continued together with his coauthors **J.K. Brooks, A. Peralta, K. Saitô, I. Villanueva, K. Ylinen**

and Pepe Bonet (the locally convex touch)

## Classical measure theory

In classical theory, measures and integrals correspond to functionals or vector valued operators defined on a function algebra, like  $C(K)$ ,  $K$  compact; or  $L^\infty$ .

## Non-commutative measure theory

Replacing these commutative algebras by non-commutative  $C^*$ -algebras, like  $L(H)$ ,  $H$  Hilbert space, gave birth to non-commutative measure theory. But replacing  $C^*$ -algebras by more general classes of Banach spaces give rise to fruitful new insights.

## Question 1

Let  $K$  be a compact Hausdorff space and let  $E$  be a complete locally convex space. Let  $T \in L(C(K), E)$  a continuous operator. When does there exist an  $E$ -valued Baire measure  $\mu$  on  $K$  such that

$$T(f) = \int_K f(t)\mu(dt) \quad \text{for each } f \in C(K)?$$

## Question 2

Let  $B(K)$  be the algebra of bounded Borel measurable functions on  $K$ . When does there exist an operator  $T^\infty \in L(C(K), E)$  extending  $T$  and, whenever  $(f_n)_n$  is a bounded monotone increasing sequence in  $B(K)$  with pointwise limit  $f$ , it follows  $T^\infty(f_n) \rightarrow T^\infty f$  in  $E$ ?

# Weak compactness and measure theory

When  $E$  is one dimensional, the answer to Question 1 is “always”. This is the classical **Riesz Representation Theorem**.

When  $E$  is a Banach space, the answer is: precisely when  $T$  is a weakly compact operator. This was proved by **Grothendieck (1953) and Bartle, Dunford and Schwartz (1955)**.

## Theorem (Lewis, 1970)

Let  $K$  be a compact Hausdorff space, let  $E$  be a complete locally convex space and let  $T \in L(C(K), E)$  a continuous operator.  $T$  is weakly compact if and only if there is a regular measure  $\mu : \Sigma \rightarrow E$  on the Borel subsets of  $K$  such that

$$T(f) = \int_K f(t)\mu(dt) \quad \text{for each } f \in C(K).$$

## Definition

If  $X$  is a Banach space and  $E$  is a locally convex space an operator  $T \in L(X, E)$  is weakly compact if  $T(X_1)$  is relatively  $\sigma(E, E')$ -compact in  $E$ . Here  $X_1$  stands for the closed unit ball of  $X$ .

## Grothendieck's extension of Gantmacher's Theorem

Let  $X$  be a Banach space and let  $E$  be a complete locally convex space. The following are equivalent for  $T \in L(X, E)$

- (1)  $T$  is weakly compact.
- (2)  $T''(X'') \subset E$ .
- (3) For each  $C \subset E'$  which is equicontinuous,  $T'(C)$  is relatively  $\sigma(X', X'')$ -compact.

- $A$  is a  $C^*$ -algebra.
- $A'$  is the dual of  $A$ .
- The bidual  $A''$  can be identified with the von Neumann envelope of  $A$  in its universal representation.
- $A'$  is the predual of a von Neumann algebra, hence  $(A', \sigma(A', A''))$  is sequentially complete.

# Weakly compact operators

## Theorem

Let  $A$  be a Banach space such that  $(A', \sigma(A', A''))$  is sequentially complete and let  $E$  be a complete locally convex space. Let  $(T_n)_n$  be a sequence of weakly compact operators from  $A$  into  $E$ .

If  $(T_n''z)_n$  is a Cauchy sequence in  $E$  for each  $z \in A''$ , then there is a weakly compact operator  $T : A \rightarrow E$  such that  $T_n''z \rightarrow T''z$  in  $E$  for each  $z \in A''$ .

## Theorem (Dieudonné, 1951)

Let  $K$  be a compact metric space, let  $(\mu_n)_n$  be a sequence of Borel measures such that  $\lim_{n \rightarrow \infty} \mu_n(U)$  exists for each open subset  $U$  of  $K$ . Then there exists a Borel measure  $\mu$  such that  $\mu_n(f) \rightarrow \mu(f)$  for each bounded Borel measurable function  $f \in B(K)$ .



# A non-commutative vector valued Dieudonné's Theorem

Let  $A$  be a  $C^*$ -algebra. A projection  $p \in A''$  is said to be a **range projection** for  $A$  if there exists  $b \in A$ ,  $0 \leq b \leq 1$ , such that the monotone increasing sequence  $(b^{1/n})_n$  converges to  $p$  in the topology  $\sigma(A'', A')$ . We write  $p = RP(b)$ .

Theorem (Brooks, Saitô, Wright (2003), Bonet, Wright (2009))

Let  $A$  be a  $C^*$ -algebra, let  $E$  be a complete locally convex space and let  $(T_n)_n$  be a sequence of weakly compact operators  $T_n : A \rightarrow E$ . Suppose that, whenever  $p \in A''$  is a range projection,  $\lim_{n \rightarrow \infty} T_n''(p)$  exists in  $E$ . Then there is a unique weakly compact operator  $T : A \rightarrow E$  such that  $T''(x) = \lim_{n \rightarrow \infty} T_n''(x)$  for each  $x \in A''$ .

# Extending a result of Ryan on weakly compact operators

- $E$  is a Fréchet space or a complete (DF)-space.
- $c_0(E)$  and  $c(E)$ .
- $\ell_1(E)$  and  $\ell_\infty(E)$ .
- $c(E)'_b \simeq \ell_1(E'_b)$ .
- $\ell_1(E'_b)'_b \simeq \ell_\infty(E''_b)$ .

This is our extension of a result due to **Ryan (1979)**.

## Theorem

Let  $A$  be a Banach space and let  $E$  be a Fréchet space or a complete (DF)-space.

Let  $T_n$  be the operators from  $A$  into  $E$  such that, for each  $a \in A$ ,  $\lim_n T_n a = 0$  in  $E$ .

Then the operator

$$\tilde{T} : A \rightarrow c_0(E), \quad \tilde{T}a := (T_n a)_n, \quad a \in A,$$

is weakly compact if and only if each  $T_n$  is weakly compact and  $\lim_n T_n'' z = 0$  for each  $z \in A''$ .

## Theorem

Let  $A$  be a Banach space such that  $(A', \sigma(A', A''))$  is sequentially complete and let  $E$  be a Fréchet space or a complete (DF)-space.

Let  $(T_n)_n$  be a sequence of weakly compact operators from  $A$  into  $E$ , such that  $(T_n''z)_n$  is a Cauchy sequence in  $E$  for each  $z \in A''$ .

Then  $\tilde{T} : A \rightarrow c(E)$ ,  $\tilde{T}a := (T_n a)_n$ ,  $a \in A$ , is a weakly compact operator.

If the assumption that  $A$  has a weakly sequentially complete dual is removed in this Theorem, the conclusion is no longer valid, as the example constructed by Ylinen in 2005 shows.

# A continuity characterization of weakly compact operators

The **Right topology**  $\rho(E)$  is the topology induced in  $E$  by the Mackey topology  $\mu(E'', E')$  of the dual pair  $(E'', E')$ . Recall that  $\mu(E'', E')$  is the topology on  $E''$  of the uniform convergence on the absolutely convex  $\sigma(E', E'')$ -compact subsets of  $E'$ .

Theorem (Ruess (1982), Peralta, Villanueva, Ylinen, Wright (2007))

Let  $F$  and  $E$  be complete, barrelled locally convex spaces. Let  $T \in L(F, E)$  be a continuous linear operator. The following conditions are equivalent:

- (1)  $T$  maps bounded subsets in  $F$  into relatively weakly compact subsets of  $E$ .
- (2)  $T : (F, \rho(F)) \rightarrow E$  is continuous.
- (3) There is an absolutely convex neighbourhood  $V \in \mathcal{U}_0(F)$  such that the restriction  $T|_V$  of  $T$  from  $V$ , equipped with the topology induced by the Right topology  $\rho(F)$ , into  $E$  is continuous.

# Generalizing Nikodym Theorems

A classical **theorem of Nikodym** asserts that if a sequence of countably additive measures converges pointwise, then the limit is also a countably additive measure and, furthermore, countable additivity is uniform for the sequence of measures. As a consequence of our previous results, we get

## Theorem

Let  $A$  be a Banach space and let  $E$  be a Fréchet space or a complete (DF)-space. Let  $(T_n)_n$  be a sequence of weakly compact operators from  $A$  into  $E$  such  $(T_n''z)_n$  converges to 0 in  $E$  for each  $z \in A''$ .

If  $(a_j)_j \subset A$  converges to 0 in the Right topology of  $A$ , then  $\sup_{n \in \mathbb{N}} p(T_n(a_j))$  converges to 0 as  $j \rightarrow \infty$  for each continuous seminorm  $p$  on  $E$ .

## Theorem

Let  $A$  be a Banach space such that  $(A', \sigma(A', A''))$  is sequentially complete and let  $E$  be a Fréchet space or a complete (DF)-space. Let  $(T_n)_n$  be a sequence of weakly compact operators from  $A$  into  $E$  such that  $(T_n''z)_n$  is a Cauchy sequence in  $E$  for each  $z \in A''$ . Let  $Sa := \lim T_n a$  for each  $a \in A$ . Then

- (1) If  $(a_j)_j \subset A$  converges to 0 in the Right topology of  $A$ , then  $\sup_{n \in \mathbb{N}} p((T_n - S)(a_j))$  converges to 0 as  $j \rightarrow \infty$  for each continuous seminorm  $p$  on  $E$ .
- (2) If  $(z_j)_j$  is a sequence in  $A''$  converging to 0 in the topology  $\mu(A'', A')$ , then  $\sup_{n \in \mathbb{N}} q((T_n'' - S'')(z_j))$  converges to 0 as  $j \rightarrow \infty$  for each continuous seminorm  $q$  on  $(E'', \beta(E'', E'))$ .

# Weakly compact operators on a $C^*$ -algebra

- Let  $\psi \in A'$  be a positive functional on a  $C^*$ -algebra  $A$ , and define

$$p_\psi(a) := \psi((aa^* + a^*a)^{1/2}), \quad a \in A.$$

Then  $p_\psi$  is a seminorm on  $A$ .

- A positive functional  $\psi \in A'$  satisfying  $\|\psi\| = 1$  is called a **state**.
- The **universal  $\sigma$ -strong\* topology of a  $C^*$ -algebra  $A$**  is the topology induced by all seminorms  $p_\psi$ , where  $\psi$  is a positive functional on  $A$ .



# Weakly compact operators on a $C^*$ -algebra

- It follows from a fundamental result of Akemann, 1967, that the restriction of the  $\sigma$ -strong\* topology to the unit ball  $A_1$  of  $A$  coincides with the restriction of the Right topology  $\rho(A)$  to  $A_1$ .
- An **orthogonal sequence** in the  $C^*$ -algebra  $A$  is a sequence  $(a_n)_n$  of self adjoint elements of the closed unit ball of  $A$  such that  $a_n a_m = 0$  whenever  $n \neq m$ .

# The omnibus theorem

## Theorem

Let  $A$  be a  $C^*$ -algebra,  $E$  a complete locally convex space and  $T : A \rightarrow E$  a continuous linear operator. The following conditions are equivalent:

- (1)  $T$  is a weakly compact operator.
- (2)  $T : (A, \rho(A)) \rightarrow E$  is sequentially continuous.
- (3) If  $(a_n)_n$  is an orthogonal sequence in  $A$ , then  $(Ta_n)_n$  converges to 0 in  $E$ .
- (4) For every bounded universal strong  $*$ -null net  $(a_\lambda)_\lambda$  in  $A$  we have  $(T(a_\lambda))_\lambda$  converges to 0 in  $E$ .
- (5) If  $(a_n)_n$  is a sequence in  $A$  which is convergent in the universal  $\sigma$ -strong $*$  topology, then  $(Ta_n)_n$  converges in  $E$ .

# The omnibus theorem continued

## Theorem continued

- (6)  $T : (A, \rho(A)) \rightarrow E$  is continuous.
- (7) For each  $q \in \text{cs}(E)$  there exist a state  $\phi_q \in A'$  and  $N_q : ]0, \infty[ \rightarrow ]0, \infty[$  such that

$$q(Ta) \leq N_q(\varepsilon) p_{\phi_q}(a) + \varepsilon \|a\|.$$

## Lemma, Akemann (1967)

A subset  $K \subset A'$  is relatively  $\sigma(A', A'')$ -compact if and only if  $K$  is bounded and there exists a state  $\phi \in A'$  such that for all  $\varepsilon > 0$  there exists  $\delta > 0$  such that for all  $a \in A_1$  for which  $p_\phi(a) < \delta$  then  $|\psi(a)| < \varepsilon$  for all  $\psi \in K$ .

# The omnibus theorem continued

- The characterization in the lemma is a deep theorem due to **Akemann (1967)**. It is very important in the proof.
- The implication (3)  $\Rightarrow$  (1) is based on a very deep theorem due to **Pfitzner (1994)**.
- Conditions of type (7) in this context were introduced by **Jarchow (1986)**.

## Weak $E$ -valued integral. About Question 2.

- $A_{sa}$  the set of self adjoint elements in  $A$ .
- $A^\sigma$  stands for the smallest subspace of  $A''$  containing  $A$  with the property that whenever  $(b_n)_n$  is a monotonic sequence in  $(A^\sigma)_{sa}$  with limit  $b$  in the weak operator topology of  $A''$  (or in the topology  $\sigma(A'', A')$ ), then  $b \in A^\sigma$ .
- By a fundamental theorem of Pedersen 1979,  $A^\sigma$  is a  $C^*$ -subalgebra of  $A''$  and it is called the **Baire  $*$ -envelope of  $A$  or the Pedersen envelope of  $A$** .

# Weak $E$ -valued integral. About Question 2.

## Definition

$\tilde{T}: A^\sigma \rightarrow E$  is a **weak  $E$ -valued integral for  $A$**  if it is continuous and for all  $(b_n)_n$  monotonic sequence of self adjoint elements in  $A^\sigma$  such that  $b_n$  tends to  $b$  for the  $\sigma(A^\sigma, A')$ -topology then  $\tilde{T}(b_n)$  tends to  $\tilde{T}(b)$  for the  $\sigma(E, E')$ -topology.

**Theorem.** It extends a result of Wright (1980)

A continuous operator  $T: A \rightarrow E$  is weakly compact if and only if there is a weak  $E$ -valued integral  $\tilde{T}: A^\sigma \rightarrow E$  for  $A$  whose restriction to  $A$  coincides with  $T$ .

# Weak $E$ -valued integral. About Question 2.

## Corollary

If  $A$  be a  $C^*$ -algebra and  $E$  is a complete locally convex space which contains no copy of  $c_0$ , then every  $T \in L(A, E)$  is weakly compact.

- The commutative case of this result is due to **Panchapagesan, 1998**. The non-commutative case for a Banach space  $E$  is due to **Akemann, Dodds and Gamlen (1972)**.
- It is based on a theorem of **Tumarkin, 1970**, extending a result of **Bessaga and Pelczynski**, on the unconditional convergence of weakly unconditionally Cauchy series in locally complete spaces not containing  $c_0$ .

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