Bornological projective limits of inductive limits of normed spaces

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Dedicated to the memory of Susanne Dierolf

We establish a criterion to decide when a countable projective limit of countable inductive limits of normed spaces is bornological. We compare the conditions occurring within our criterion with well-known abstract conditions from the context of homological algebra and with conditions arising within the investigation of weighted PLB-spaces of continuous functions.

1 Introduction

Many areas of the modern theory of locally convex spaces which has been successful in the recent solution of analytic problems gained great insight with new techniques related to homological algebra. In particular, the derived projective limit functor, introduced first by Palamodov [19, 18], and studied since the mid 1980's by Vogt [21] and others, played a very important role and became a very useful tool. An excellent presentation of the homological tools can be found in the book by Wengenroth [27]. Vogt [21, 23] was the first one to notice that the vanishing of the derived projective limit functor for a countable spectrum of LB-spaces was related to the locally convex properties of the projective limit of the sequence (for example being barrelled or bornological); see Theorems 3.3.4 and 3.3.6 in [27]. He also gave complete characterizations in the case of sequence spaces in [23, Section 4].

For projective spectra of LB-spaces the vanishing of the functor Proj¹ is a sufficient condition for the corresponding projective limit to be ultrabornological (and thus also barrelled). A countable projective limit of countable inductive limits of Banach spaces is called a *PLB-space*. PLB-spaces constitute a class which is strictly larger than the class of PLS-spaces. A locally convex space is a PLS-space if it is a countable projective limit of (DFS)-spaces (i.e. of countable inductive limits of Banach spaces with compact linking maps). The class of PLS-spaces contains many natural examples from analysis like the space of distributions, the space of real analytic functions and several spaces of ultradifferentiable functions

and ultradistributions. In recent years, this class has played a relevant role in the applications of abstract functional analysis to linear problems in analysis. These problems include the solvability, existence of solution operators and parameter dependence of linear partial differential operators and convolution operators, the linear extension of infinitely differentiable, holomorphic or real analytic functions, and the study of composition operators on spaces of real analytic functions, among other topics. See the survey article of Domański [11]. As can be observed in chapter 5 of Wengenroth lecture notes [27], the study of the splitting of short exact sequences of Fréchet or more general spaces requires the consideration of PLB-spaces which are not PLS-spaces. There are several possibilities to conclude that $\text{Proj}^{\,1} = 0$ holds for projective spectra of LB-spaces. For a concrete projective limit, it firstly depends on abstract properties of the spectrum (like being reduced or having compact linking maps) whether a stronger or a weaker condition can be used.

The main result of this article Theorem 2.2 is a criterion to decide when a countable projective limit of countable inductive limits of normed spaces is bornological, that constitutes an extension of the methods for LB-spaces mentioned above. It can be used as a criterion for (quasi-)barrelledness of projective limits of LB-spaces which have a dense topological subspace which is the projective limit of inductive limits of normed spaces. In fact, our main motivation to prove Theorem 2.2 was to treat weighted spaces of polynomials and weighted spaces of continuous functions with compact support. The study of projective limits of weighted inductive limits of spaces of polynomials was necessary to investigate when a weighted PLB-space of holomorphic functions is barrelled in cases when the projective limit functor cannot be directly applied. Results on this subject will be contained in a forthcoming paper by S.-A. Wegner. See also the last named author's doctoral thesis [26]. In Section 3 of this paper we present applications to weighted PLB-spaces of continuous functions. These spaces were investigated in [2] and they contain not only the sequence spaces defined with sup-norms, but also permit one to treat spaces of continuous linear operators from a Köthe echelon space into another or tensor products of Fréchet and (LB)-spaces of null sequences. In the case of weighted PLB-spaces of continuous functions, the dense subspace and its representation as a projective limit of inductive limits of normed spaces arise very naturally. Using this representation we give an alternative (non-homological) proof of a result of Agethen, Bierstedt, Bonet [2] in the case of functions vanishing at infinity. The situation in the case of bounded functions is the following: Agethen, Bierstedt, Bonet [2] proved with the help of Proj¹ that a certain condition on the weights is sufficient for ultrabornologicity. But it follows from their results that this condition cannot be necessary and that a necessary condition cannot be found using Proj¹, cf. Theorem B in Section 3. We explain why our criterion does not yield a solution to this problem, either. The latter follows from a comparison of the condition appearing in Theorem 2.2 with "classical" Proj ¹-conditions which we perform in Section 2. At the end of Section 3 we extend this comparison including weight conditions used by Agethen, Bierstedt, Bonet [2].

We refer the reader to [9] for weighted spaces of continuous functions and to [14, 15, 16, 17, 20] for the general theory of locally convex spaces.

2 A criterion for the bornologicity of projective limits of inductive limits of normed spaces

In the sequel we let $\mathfrak{X}=(X_N,\rho_M^N)$ denote a projective spectrum of inductive limits of normed spaces $X_N=\operatorname{ind}_n X_{N,n}$, where we use the notation of Wengenroth [27, Definition 3.1.1] and assume in addition that the ρ_M^N are inclusions of linear subspaces. Denote by $X=\operatorname{proj}_N\operatorname{ind}_n X_{N,n}$ the limit of the spectrum \mathfrak{X} and by $B_{N,n}$ the closed unit ball of the normed space $X_{N,n}$. For all N we assume that for each bounded set $B\subseteq X_N$ there exists n such that $B\subseteq B_{N,n}$. This assumption is equivalent to the fact that the spaces X_N are regular inductive limits of normed spaces. We keep this notation in the rest of the section.

Lemma 2.1. Let $X = \operatorname{proj}_N \operatorname{ind}_n X_{N,n}$ be a projective limit of regular inductive limits of normed spaces. Assume that

(B1)
$$\forall N \exists M \forall m \exists n : B_{M,m} \subseteq \bigcap_{k \in \mathbb{N}} (B_{N,n} \cap X + \frac{1}{k} B_{N,n})$$

holds for the spectrum X. Let $T \subseteq X$ be an absolutely convex set. Then

$$(B2) \qquad \exists \ N \ \forall \ n \ \exists \ S > 0 : B_{N,n} \cap X \subseteq ST$$

holds if and only if T is a 0-neighborhood in X.

Proof. " \Rightarrow " Fix $T \subseteq X$ absolutely convex and select N as in (B2). For this N select M as in (B1). Fix n and put $T_n := \bigcap_{k \in \mathbb{N}} (T + \frac{1}{k} B_{N,n})$. Since T and $B_{N,n}$ are absolutely convex the same is true for T_n . Clearly $T_n \subseteq X_N$. Since $B_{N,n} \subseteq B_{N,n+1}$ we get $T_n \subseteq T_{n+1}$. Accordingly, the set $T_0 := (\bigcup_{n \in \mathbb{N}} T_n) \cap X_M$ is an absolutely convex subset of X_M .

We claim that T_0 absorbs $B_{M,m}$ for each m. In order to see this, fix m and select n as in (B1). Applying (B2) w.r.t. the latter n we obtain S>0 such that $B_{N,n}\cap X\subseteq ST$. For an arbitrary k we get $B_{N,n}\cap X+\frac{1}{k}B_{N,n}\subseteq ST+\frac{1}{k}B_{N,n}=ST+\frac{S}{Sk}B_{N,n}=S(T+\frac{1}{Sk}B_{N,n})$. This yields $\cap_{k\in\mathbb{N}}\left(B_{N,n}\cap X+\frac{1}{k}B_{N,n}\right)\subseteq S\cap_{k\in\mathbb{N}}\left(T+\frac{1}{Sk}B_{N,n}\right)\subseteq ST_n$. By (B1) $B_{M,m}\subseteq ST_n$. Therefore $B_{M,m}=B_{M,m}\cap X_M\subseteq ST_n\cap X_M\subseteq S(T_n\cap X_M)\subseteq ST_0$, and the claim is established.

Since X_M is bornological as it is an inductive limit of normed spaces and the sets $B_{M,m}$ form a fundamental system of bounded sets for X_M , we conclude that T_0 is a 0-neighborhood in X_M , hence $T_0 \cap X$ is a 0-neighborhood in X. To prove that T is a 0-neighborhood, it is enough to show $T_0 \cap X \subseteq 2T$. Let $t \in T_0 \cap X$ be given. Then there exists n such that $t \in T_n \cap X$. For this n we apply (B2) to get S > 0 with $B_{N,n} \cap X \subseteq ST$. For k > S, $t \in T + \frac{1}{k}B_{N,n}$, i.e. $t = t_k + \frac{1}{k}b_k$, with $t \in X$, $t_k \in T \subseteq X$ and $b_k \in B_{N,n}$. Thus, $b_k = k(t - t_k) \in X \cap B_{N,n} \subseteq ST$. Therefore $\frac{1}{k}b_k \in T$. Finally we have $x = t_k + \frac{1}{k}b_k \in T + T \subseteq 2T$.

" \Leftarrow " Let T be a 0-neighborhood in X. By definition there exist N and a 0-neighborhood V in X_N such that that $V \cap X \subseteq T$. Let n be arbitrary. Since $B_{N,n}$ is bounded in X_N , there exists S > 0 such that $B_{N,n} \subseteq SV$, thus $B_{N,n} \cap X \subseteq ST$.

Our main result is a direct consequence of Lemma 2.1 and the definition of bornological locally convex space.

Theorem 2.2. Let $X = (X_N, \rho_M^N)$ be a projective spectrum of regular inductive limits of normed spaces $X_N = \operatorname{ind}_n X_{N,n}$ with inclusions as linking maps and projective limit X satisfying (B1). The space X is bornological if and only if condition (B2) holds for each absolutely convex and bornivorous set $T \subseteq X$.

The definition of condition (B1) and the proof of Lemma 2.1 were inspired by results of Vogt [21, 23], see Wengenroth [27, 3.3.4], on the connection of the vanishing of Proj^1 for a projective spectrum of LB-spaces and the ultrabornologicity of the corresponding limit. In view of Theorem 2.2 and the next proposition, (B1) is in some sense a "weak variant" of condition $\operatorname{Proj}^1 = 0$.

Proposition 2.3. Let X be a projective spectrum of regular inductive limits of normed spaces. If all $X_{N,n}$ are Banach spaces and $\operatorname{Proj}^1 X = 0$ holds, then (B1) is satisfied.

Proof. We may assume w.l.o.g. that $(B_{N,n})_{n\in\mathbb{N}}$ is a fundamental system of Banach discs in each of the LB-spaces X_N . In the proof of [27, Theorem 3.3.4] it is shown that $\operatorname{Proj}^1 \mathfrak{X} = 0$ implies

$$\forall N \exists M \forall D \in \mathcal{BD}(X_M) \exists A \in \mathcal{BD}(X_N) : D \subseteq \overline{A \cap X}^{(X_N)_A}$$

where $\mathcal{BD}(X_N)$ is the system of all Banach discs in X_N and $(X_N)_A$ is the Banach space associated to the Banach disc A. Now we may replace the Banach disc A by $B_{M,m}$ for some m, resp. D by $B_{N,n}$ for some n and thus the above condition yields

$$\forall N \exists M \forall m \exists n : B_{M,m} \subseteq \overline{B_{N,n} \cap X}^{X_{N,n}}.$$

Now (B1) follows, since
$$\overline{B_{N,n} \cap X}^{X_{N,n}} \subseteq \bigcap_{k \in \mathbb{N}} (B_{N,n} \cap X + \frac{1}{k} B_{N,n})$$
.

It is well-known that there is a connection between the vanishing of Proj ¹ on a projective spectrum \mathcal{X} of locally convex spaces and reducedness-properties of the spectrum: If \mathcal{X} is reduced in the classical sense (see e.g. Floret, Wloka [12, p. 143]), i.e. if the limit space X is dense in each step, then \mathcal{X} is strongly reduced in the sense of Wengenroth [27, Definition 3.3.5], that is for each N there exists M such that $X_M \subseteq \overline{X}^{X_N}$ holds. On the other hand, \mathcal{X} being strongly reduced implies that \mathcal{X} is reduced in the sense of Wengenroth [27, Definition 3.2.17], i.e. for each N there exists M such that for each K the inclusion $X_M \subseteq \overline{X}_K^{X_N}$ is valid. The latter notion coincides with the one used by Braun, Vogt [10, Definition 4].

Wengenroth [27, remarks previous to Proposition 3.3.8] mentioned that for a spectrum \mathcal{X} of LB-spaces $\operatorname{Proj}^1 \mathcal{X} = 0$ implies that \mathcal{X} is strongly reduced. As the next remark shows, for a projective spectrum of inductive limits of normed spaces condition (B1) implies the same property.

Proposition 2.4. Let $\mathfrak{X}=(X_N,\rho_M^N)$ be a projective spectrum of regular inductive limits of normed spaces $X_N=\operatorname{ind}_n X_{N,n}$ with inclusions as linking maps and projective limit X. If \mathfrak{X} satisfies (B1), then \mathfrak{X} is strongly reduced, that is for each N there exists M such that $X_M\subseteq \overline{X}^{X_N}$ holds.

Proof. For given N we choose M as in (B1) and consider $x \in X_M$. Then there are m and $\rho > 0$ with $\rho x \in B_{M,m}$. For this m we apply (B1) to obtain n with $B_{M,m} \subseteq \overline{B_{N,n} \cap X^{X_{N,n}}}$, hence $\rho x \in \overline{B_{N,n} \cap X^{X_{N,n}}}$. Thus there exists $(x_j)_{j \in \mathbb{N}} \subseteq B_{N,n} \cap X$ with $x_j \to \rho x$ for $j \to \infty$ w.r.t. $\|\cdot\|_{N,n}$, thus w.r.t. the inductive topology of X_N .

Roughly speaking the Propositions 2.3 and 2.4 mean that condition (B1) is placed "somewhere in between" the vanishing of Proj^1 and strong reducedness of the spectrum \mathcal{X} . In order to be more precise we introduce the following variant of (B1). We say that a spectrum \mathcal{X} satisfies *condition* $(\overline{B1})$ if

$$\forall N \exists M \forall m \exists n \forall \varepsilon > 0 \exists B \subseteq X \text{ bounded: } B_{M,m} \subseteq B + \varepsilon B_{N,n}$$

holds.

Condition ($\overline{B1}$) is related to the following two conditions of Braun, Vogt [10, Definition 4]. We say that \mathfrak{X} satisfies (P₂) if

$$\forall N \exists M, n \forall K, m' \exists k, S > 0 : B_{M,m'} \subseteq S(B_{N,n} + B_{K,k}).$$

We say that \mathfrak{X} satisfies $(\overline{P_2})$ if

$$\forall N \exists M', n \forall K, m, \varepsilon > 0 \exists k', S' > 0 : B_{M'm} \subseteq \varepsilon B_{Nn} + S' B_{Kk'}.$$

Braun, Vogt [10] proved that for an arbitrary projective spectrum of LB-spaces \mathcal{X} , $\operatorname{Proj}^1 \mathcal{X} = 0$ holds if \mathcal{X} satisfies $(\overline{P_2})$. Moreover they showed that in the case of a DFS-spectrum \mathcal{X} is reduced and satisfies (P_2) if and only if $\operatorname{Proj}^1 \mathcal{X} = 0$.

Proposition 2.5. Let $\mathfrak{X} = (X_N)_{N \in \mathbb{N}}$ be a projective spectrum of regular LB-spaces with inclusions as linking maps. If \mathfrak{X} satisfies (P_2) and $(\overline{B1})$ then \mathfrak{X} satisfies $(\overline{P_2})$.

Proof. $(\overline{B1})$ can be written as follows

$$\forall M \exists M' \forall m \exists m' \forall \varepsilon > 0 \exists B \subseteq X \text{ bounded: } B_{M',m} \subseteq B + \varepsilon B_{M,m'}.$$

We show $(\overline{P_2})$ in the way it is stated above. Let N be given. We choose M and n as in (P_2) and put M into $(\overline{B1})$ to obtain M'. Let K, m and $\varepsilon > 0$ be given. We put m into $(\overline{B1})$ and obtain m'. We put m', K and $\varepsilon > 0$ into (P_2) and obtain k and S > 0. Finally, we put $\frac{\varepsilon}{S}$ into $(\overline{B1})$ and get a bounded set $B \subseteq X$. Now we have by $(\overline{B1})$ and (P_2) the two inclusions $B_{M',m} \subseteq B + \frac{\varepsilon}{S}B_{M,m'}$ and $B_{M,m'} \subseteq SB_{N,n} + SB_{K,k}$. Since B is bounded in X, it is also bounded in the LB-space X_K and this space is regular, i.e. there exists k' and k > 0 such that $k \in X_K$ and we clearly may choose $k' \geqslant k$. From the three inclusions we just mentioned we get $k_{M',m} \subseteq (k + \varepsilon)B_{K,k'} + \varepsilon B_{N,n}$ and thus it is enough to select $K' := k + \varepsilon$ to finish the proof.

For the rest of this section we treat the following special case. We assume $X_{N,n} = X_{N,n+1} =: X_N$ for all n and w.l.o.g. $B_{N+1} \subseteq B_N$, $X = \operatorname{proj}_n X_N$. We further assume that X_N is a Banach space, thus X is a Fréchet space. In this case condition (B1) reduces to

$$\forall N \exists M : B_M \subseteq \bigcap_{k \in \mathbb{N}} B_N \cap X + \frac{1}{k} B_N$$

and $(\overline{B1})$ reduces to

$$\forall N \exists M \forall \varepsilon > 0 \exists B \subseteq X \text{ bounded: } B_M \subseteq B + \varepsilon B_N.$$

The latter condition implies

$$\forall N \exists M \forall \varepsilon > 0 \exists B \subseteq X \text{ bounded: } B_M \cap X \subseteq B + \varepsilon(B_N \cap X),$$

that is exactly the definition of quasinormability, which was introduced by Grothendieck [13, Definition 4, p. 106 and Lemma 6, p. 107] (cf. [17, Definition after Proposition 26.12]) as an extension of Schwartz spaces and Banach spaces. In fact, a Fréchet space is Schwartz if and only if the above condition holds with a finite set B, cf. [17, Remark previous to 26.13].

Proposition 2.6. If $X = (X_N)_{N \in \mathbb{N}}$ is a projective spectrum of Banach spaces with inclusions as linking maps and $X = \operatorname{proj}_N X_N$ is the corresponding Fréchet space, we have $(i) \Rightarrow (ii) \Leftrightarrow (iii)$ where:

- (i) Condition $(\overline{B1})$ holds.
- (ii) X is reduced in the sense $\forall N \exists M : X_M \subseteq \overline{X}^{X_N}$.
- (iii) Condition (B1) holds.

In particular " $(\overline{B1}) \Rightarrow (B1)$ " holds in general for projective spectra of Banach spaces with inclusions as linking maps.

Proof. "(i) \Rightarrow (ii)" By assumption for each N there is M such that for each $\varepsilon > 0$ there is a bounded subset B of X with $B_M \subseteq B + \varepsilon B_N$. In order to show that X is reduced, we fix N and choose M as in the condition above. Then $B_M \subseteq X + \varepsilon B_N$ holds for each $\varepsilon > 0$ that is $B_M \subseteq \overline{X}^{X_N}$ and thus $X_M \subseteq \overline{X}^{X_N}$.

"(ii) \Rightarrow (iii)" For given N we choose M>N such that $X_M\subseteq \overline{X}^{X_N}$. Let $x\in B_M$. We have $x\in \overline{X}^{X_N}$. Since $B_M\subseteq B_N$ we also have $x\in B_N$. Hence $x\in B_N\cap \overline{X}^{X_N}$. We claim $x\in \overline{B_N\cap X}^{X_N}$. If x is in the interior of B_N in X_N , we choose a sequence $(x_j)_{j\in\mathbb{N}}\subseteq X$ with $x_j\to x$ in X_N . There exists J such that $x_j\in B_N$ for all $j\geqslant J$. Hence $(x_j)_{j\geqslant J}\subseteq B_N\cap X$ with $x_j\to x$ in X_N and $x\in \overline{B_N\cap X}^{X_N}$. If otherwise $\|x\|_N=1$, take $(x_j)_{j\in\mathbb{N}}\subseteq X$ with $x_j\to x$ in X_N . We put $y_j:=\frac{x_j}{\|x_j\|_N}$. Then $(y_j)_{j\in\mathbb{N}}\subseteq B_N\cap X$, $y_j\to \frac{x}{\|x\|_N}=\frac{x}{1}=x$, hence $x\in \overline{B_N\cap X}^{X_N}$.

"(iii)⇒(ii)" This follows from Proposition 2.4.

The last statement is now clear.

3 Weighted spaces of continuous functions

In this section we apply the criterion in Theorem 2.2 to weighted PLB-spaces of continuous functions. The main reference for this section is the article [2] of Agethen, Bierstedt, Bonet which is an extended and reorganized version of part of the thesis of Agethen [1]. In order to present the applications and examples we introduce some notation.

Let X denote a locally compact and σ -compact topological space. By C(X) we denote the space of all continuous functions on X and by $C_c(X)$ the space of all continuous functions on X with compact support. A weight is a strictly positive and continuous function on X. For a weight a we define the weighted Banach spaces of continuous functions

$$Ca(X) := \big\{ f \in C(X) \; ; \; \|f\|_a := \sup_{x \in X} a(x)|f(x)| < \infty \big\},$$

$$Ca_0(X) := \big\{ f \in C(X) \; ; \; a|f| \text{ vanishes at } \infty \text{ on } X \big\}.$$

Recall that a function $g\colon X\to\mathbb{R}$ is said to vanish at ∞ on X if for each $\varepsilon>0$ there is a compact set K in X such that $|g(x)|<\varepsilon$ for all $x\in X\backslash K$. The space Ca(X) is a Banach space for the norm $\|\cdot\|_a$ and $Ca_0(X)$ is a closed subspace of Ca(X). In the first case we speak of O-growth conditions and in the second of o-growth conditions.

Let now $\mathcal{A} = (a_{N,n})_{N \in \mathbb{N}}$ be a double sequence of weights on X which is decreasing in n and increasing in N, i.e. $a_{N,n+1} \leq a_{N,n} \leq a_{N+1,n}$ holds for all N and n. We define the norms $\|\cdot\|_{N,n} := \|\cdot\|_{a_{N,n}}$ and get $Ca_{N,n}(X) \subseteq Ca_{N,n+1}(X)$ and $C(a_{N,n})_0(X) \subseteq C(a_{N,n+1})_0(X)$ with continuous inclusion for each N and n. Therefore we can define for each N the weighted LB-spaces of continuous functions

$$\mathcal{A}_N C(X) := \operatorname{ind}_n Ca_{N,n}(X)$$
 and $(A_N)_0 C(X) := \operatorname{ind}_n C(a_{N,n})_0(X)$.

Since Bierstedt, Bonet [5, Section 1] implies that the spaces $\mathcal{A}_N C(X)$ are always complete we may assume that every bounded set in $\mathcal{A}_N C(X)$ is contained in $B_{N,n}$ for some n where $B_{N,n}$ denotes the unit ball of $Ca_{N,n}(X)$. The space $(\mathcal{A}_N)_0 C(X)$ needs not to be regular. By [9, Theorem 2.6] it is regular if and only if it is complete and this is equivalent to the fact that the sequence $\mathcal{A}_N := (a_{N,n})_{n \in \mathbb{N}}$ is regularly decreasing (see [9, Definition 2.1 and Theorem 2.6]). We set $B_{N,n}^{\circ}$ for the unit ball of $C(a_{N,n})_0(X)$. Let us denote by $\mathcal{A}C = (\mathcal{A}_N C(X))_N$ and $\mathcal{A}_0 C = ((\mathcal{A}_N)_0 C(X))_N$ the projective spectra of LB-spaces where the linking maps are just the inclusions. To complete our definition, we define the weighted PLB-spaces of continuous functions by taking projective limits, i.e. we put

$$AC(X) := \operatorname{proj}_N \mathcal{A}_N C(X)$$
 and $(AC)_0(X) := \operatorname{proj}_N (A_N)_0 C(X)$.

By Bierstedt, Meise, Summers [9, Corollary 1.4.(a)] $(A_N)_0C(X) \subseteq A_NC(X)$ is a topological subspace for each N and hence $(AC)_0(X)$ is a topological subspace of AC(X). Moreover, A_0C is reduced in the sense that $(AC)_0(X)$ is dense in every step (cf. [2, Section 2]).

In [24] Vogt introduced the conditions (Q) and (wQ). In the case of weighted PLB-spaces one can reformulate these conditions in terms of the weights as follows. We

say that the sequence A satisfies condition (Q) if

$$\forall\: N \; \exists\: M, \: n \; \forall\: K, \: m, \: \varepsilon > 0 \; \exists\: k, \: S > 0 : \frac{1}{a_{M,m}} \leqslant \max\big(\frac{\varepsilon}{a_{N,n}}, \frac{S}{a_{K,k}}\big),$$

we say that it satisfies (wQ) if

$$\forall N \exists M, n \forall K, m \exists k, S > 0 : \frac{1}{a_{M,m}} \leq \max\left(\frac{S}{a_{N,n}}, \frac{S}{a_{K,k}}\right).$$

It is clear that condition (Q) implies condition (wQ). Bierstedt, Bonet gave in [6] examples of sequences satisfying (wQ) but not (Q).

One of the main tasks in [2] was the investigation of locally convex properties of the spaces AC(X) and $(AC)_0(X)$. For this purpose Agethen, Bierstedt, Bonet used the above weight conditions in order to characterize the vanishing of the functor Proj¹ on the spectra AC and A_0C . We state their results.

Theorem A. ([2, Theorem 3.7]) The following conditions are equivalent.

- (i) $\operatorname{Proj}^1 \mathcal{A}_0 C = 0$.
- (iii) $(AC)_0(X)$ is barrelled.
- (ii) $(AC)_0(X)$ is ultrabornological.
- (iv) A satisfies condition (wQ).

Theorem B. ([2, Theorems 3.5 and 3.8]) Consider the following conditions:

- (i) A satisfies condition (Q),
- (iv) AC(X) is barrelled,
- (ii) $\operatorname{Proj}^{1} AC = 0$,
- (v) A satisfies condition (wQ).
- (iii) AC(X) is ultrabornological,

Then $(i)\Leftrightarrow(ii)\Rightarrow(iii)\Rightarrow(iv)\Rightarrow(v)$.

3.1 A non-homological proof for the barrelledness of $(AC)_0(X)$

We give an alternative proof of the implication "(iv)⇒(iii)" in Theorem A by replacing the machinery of Proj¹, which was used in the original proof of Agethen, Bierstedt, Bonet, by a method based on the criterion in Theorem 2.2.

For a given double sequence \mathcal{A} we consider the normed spaces $C(a_{N,n})_c(X) := (C_c(X), \|\cdot\|_{N,n})$ and $(AC)_c(X) := \operatorname{proj}_N \operatorname{ind}_n C(a_{N,n})_c(X)$. We denote by $C_{N,n}$ the closed unit ball of $C(a_{N,n})_c(X)$. Since $C_{N,n} = B_{N,n} \cap C_c(X)$, it follows that $\operatorname{ind}_n C(a_{N,n})_c(X)$ is a regular inductive limit of normed spaces. For the proof of Proposition 3.2 we need the following technical lemma.

Lemma 3.1. Let $X = \operatorname{proj}_N \operatorname{ind}_n X_{N,n}$ with normed spaces $X_{N,n}$ and let $B_{N,n}$ denote the unit ball of $X_{N,n}$. Let $T \subseteq X$ be absolutely convex and bornivorous and $(n(N))_{N \in \mathbb{N}} \subseteq \mathbb{N}$ be arbitrary. Then there exists $N' \in \mathbb{N}$ such that $\bigcap_{N=1}^{N'} B_{N,n(N)}$ is absorbed by T.

Proof. Assume that the conclusion does not hold. For each N' there is $x_{N'} \in \bigcap_{N=1}^{N'} B_{N,n(N)} \setminus N'T$. We put $B := \{x_{N'} ; N' \in \mathbb{N}\}$ and claim that B is bounded in X. In order to show this, we fix L and write $B = \{x_{N'} ; 1 \leqslant N' \leqslant L\} \cup \{x_{N'} ; N' \geqslant L\}$. To show that $B \subseteq X$ is bounded it is enough to show the latter

for $B':=\{x_{N'}; N'\geqslant L\}$. We claim that $B'\subseteq X_L$ and that B' is bounded there. By definition each $x_{N'}\in B'$ lies in $\bigcap_{N=1}^{N'}B_{N,n(N)}$ and for $L\leqslant N'$ we have $\bigcap_{N=1}^{N'}B_{N,n(N)}\subseteq B_{L,n(L)}$ and the latter set is bounded in X_L . Hence the same holds for B' and we have established the claim. By our assumptions, T is bornivorous. Hence there exists $\lambda>0$ such that $B\subseteq \lambda T$. For $N'\geqslant \lambda$, we get $x_{N'}\notin N'T\supseteq \lambda T$, a contradiction.

Proposition 3.2. The following conditions are equivalent:

- (i) A satisfies condition (wQ).
- (ii) $(AC)_c(X)$ is bornological.
- (iii) $(AC)_0(X)$ is barrelled.

Proof. "(i) \Rightarrow (ii)" By Bierstedt, Bonet [6], condition (wQ) implies condition (wQ)* that is

 $\exists (n(\sigma))_{\sigma \in \mathbb{N}} \subseteq \mathbb{N} \text{ increasing } \forall N \exists M \forall K, m \exists S > 0, k$:

$$\frac{1}{a_{M,m}} \leqslant S \max \left(\frac{1}{a_{K,k}}, \min_{\sigma=1,\dots,N} \frac{1}{a_{\sigma,n(\sigma)}} \right).$$

Observe that condition (B1) trivially holds for the natural spectrum corresponding to $(AC)_c(X)$. To see that $(AC)_c(X)$ is bornological, we apply Theorem 2.2. It is then enough to show that condition (B2) is satisfied. To see this, fix an absolutely convex and bornivorous set $T\subseteq (AC)_c(X)$. Since $(AC)_c(X)=C(a_{N,n})_c(X)$ holds algebraically for all N, n we may consider T as a subset of the latter space and claim that there exists N such that for each n the ball $C_{N,n}$ is absorbed by T. We proceed by contradiction and hence assume that for each n there exists n such that n0 such that n0 is not absorbed by n1. By Lemma 3.1, there exists n2 such that n3 such that n4 such that n5 such that n5 such that n6 such that n6 such that n7 such that n8 such that n9 such that the inclusion n9 such that for each n9 such that the inclusion n9 such that n9 such that

"(ii) \Rightarrow (iii)" First observe that [4, Lemma 5.1] implies that $C_c(X) \subseteq (AC)_0(X)$ is a topological subspace, which is dense by [2, Section 2]. Therefore $(AC)_0(X)$ is quasibarrelled. Since the latter space is reduced by [2, Section 2] it follows from Vogt [23, Lemma 3.1] that it is even barrelled.

"(iii)
$$\Rightarrow$$
(i)" This is Theorem A (Theorem 3.7 in [2]).

3.2 Condition (B1) revisited

- **Proposition 3.3.** (a) If AC satisfies (B1), then A satisfies (\underline{Q}), that is for each N there exists M such that for each m there exists n such that for each K and $\varepsilon > 0$ there exist k and S > 0 such that $\frac{1}{a_{M,m}} \leqslant \max(\frac{\varepsilon}{a_{N,n}}, \frac{S}{a_{K,k}})$ holds.
 - (b) If A satisfies (Q) then the spectrum AC satisfies condition (B1) and A satisfies (Q).

Proof. (a) We apply (B1) to conclude $B_{M,m}\subseteq \cap_{k\in\mathbb{N}}(B_{N,n}\cap AC(X)+\frac{1}{k}B_{N,n})\subseteq \cap_{k\in\mathbb{N}}(AC(X)+\frac{1}{k}B_{N,n})=AC(X)+\cap_{k\in\mathbb{N}}\frac{1}{k}B_{N,n}=AC(X)+\cap_{\varepsilon>0}\varepsilon B_{N,n}.$ Now we fix $\varepsilon>0$. Since $\frac{1}{a_{M,m}}\in B_{M,m}, \frac{1}{a_{M,m}}\in AC(X)+\frac{\varepsilon}{2}B_{N,n}.$ Thus there exist f and g such that $\frac{1}{a_{M,m}}=f+\frac{\varepsilon}{2}g$ with $f\in AC(X)$ and $g\in B_{N,n}.$ That is, for each K there exists k and k>0 with $|f|\leqslant \frac{\lambda}{a_{K,k}}$ and $|g|\leqslant \frac{1}{a_{N,n}}.$ Then $\frac{1}{a_{M,m}}=|f+\varepsilon g|\leqslant |f|+\frac{\varepsilon}{2}|g|\leqslant \frac{\lambda_K}{a_{K,k}}+\frac{\varepsilon}{2a_{N,n}}\leqslant \max(\frac{2\varepsilon}{2a_{N,n}},\frac{2\lambda}{a_{K,k}}),$ which yields condition (\underline{Q}) with $S:=2\lambda.$

(b) By Theorem B, (Q) is equivalent to $\operatorname{Proj}^1 \mathcal{A}C = 0$. Thus Proposition 2.3 yields that (B1) holds. The implication "(Q) \Rightarrow (Q)" is clear by definition.

Proposition 3.4. A_0C satisfies condition (B1) in general, but even condition (wQ) need not hold.

Proof. To prove (B1) it is enough to select M:=N and n:=m and show $B_{N,n}^{\circ}\subseteq \overline{B_{N,n}^{\circ}\cap (AC)_0(X)}^{C(a_{N,n})_0(X)}$. Let $f\in B_{N,n}^{\circ}$, that is $a_{N,n}|f|$ vanishes at ∞ and $a_{N,n}|f|\leqslant 1$ on X. Define $S_{\alpha}\colon AC(X)\to (AC)_0(X),\ S_{\alpha}(f)(x):=\alpha(x)\cdot f(x),$ put $A:=\{\alpha\in C_c(X)\ ;\ 0\leqslant \alpha\leqslant 1\}$, define $\alpha\leqslant \beta:\Leftrightarrow \alpha(x)\leqslant \beta(x)$ for each $x\in X$ and consider the net $(S_{\alpha}f)_{\alpha\in A}$. We have $S_{\alpha}f\in C(a_{N,n})_0(X)$. Since $a_{N,n}|S_{\alpha}f|\leqslant a_{N,n}|f|\leqslant 1$, we have $S_{\alpha}f\in B_{N,n}^{\circ}\cap (AC)_0(X)$. It is easy to see that $S_{\alpha}f\to f$ w.r.t. $\|\cdot\|_{N,n}$.

There are examples of sequences \mathcal{A} which do not satisfy (wQ), cf. [25, Example 5.12].

The following result can be regarded as a concrete version of Proposition 2.5. For the proof we introduce the following condition which is inspired by work of Bierstedt, Meise, Summers [8, Proposition 3.2]. The sequence \mathcal{A} satisfies *condition* (\overline{wS}) if

$$\forall\, M\;\exists\, M'\;\forall\, m\;\exists\, m'\;\forall\, \varepsilon>0\;\exists\, \overline{a}\in \overline{A}\colon \tfrac{1}{a_{M',m}}\leqslant \overline{a}+\tfrac{\varepsilon}{a_{M,m'}},$$

where $\overline{A}:=\{\,\overline{a}\colon X\to\,]0,\infty[\;;\;\overline{a}\in C(X)\text{ and }\forall\,N\;\exists\,n\colon \sup_{x\in X}a_{N,n}(x)\overline{a}(x)<\infty\,\}.$

Proposition 3.5. The following conditions are equivalent.

- (i) A satisfies condition (wQ) and AC satisfies (B1).
- (ii) A satisfies condition (Q).

Proof. "(i)⇒(ii)" Condition (B1) implies

$$\forall M \exists M' \forall m \exists m' \forall \varepsilon > 0 : B_{M',m} \subseteq AC(X) + \varepsilon B_{M,m'}.$$

We show that \mathcal{A} satisfies $(\overline{\mathrm{wS}})$. For given M select M' and for given m select m' as in the condition above. Let $\varepsilon>0$ be given. To show the estimate in $(\overline{\mathrm{wS}})$, we consider $\frac{1}{a_{M',m}}\in B_{M',m}$. There exist $a'\in AC(X)$ and $f\in B_{M,m'}$ such that $\frac{1}{a_{M',m}}=a'+\varepsilon f$, hence $\frac{1}{a_{M',m}}=\left|\frac{1}{a_{M',m}}\right|\leqslant |a'|+\varepsilon|f|\leqslant \overline{a}+\frac{\varepsilon}{a_{M,m'}}$, since $f\in B_{M,m'}$ and by selecting $\overline{a}:=|a'|$. We write (wQ) in the following way

$$\forall \ N \ \exists \ M, \ n \ \forall \ K \ m' \ \exists \ k, \ S > 0 \colon \tfrac{1}{a_{M,m'}} \leqslant S\big(\tfrac{1}{a_{N,n}} + \tfrac{1}{a_{K,k}}\big),$$

and prove (Q) in the notation

$$\forall\,N\;\exists\,M',\,n\;\forall\,K,\,m\,,\varepsilon>0\;\exists\,k',\,S'>0\colon\tfrac{1}{a_{M',m}}\leqslant\tfrac{\varepsilon}{a_{N,n}}+\tfrac{S'}{a_{K,k'}}.$$

Let N be given. We choose M and n as in (wQ). We put M into ($\overline{\text{wS}}$) and obtain M'. Let K, m and $\varepsilon > 0$ be given. We put m into ($\overline{\text{wS}}$) and obtain m'. We put m', K and $\varepsilon > 0$ into (wQ) and obtain k and S > 0. Finally, we put $\frac{\varepsilon}{S}$ into ($\overline{\text{wS}}$) and obtain \overline{a} . Now by (wQ) and ($\overline{\text{wS}}$) we have the two estimates $\frac{1}{a_{M',m}} \leqslant \overline{a} + \frac{\varepsilon}{S} \frac{1}{a_{M,m'}}$ and $\frac{1}{a_{M,m'}} \leqslant \frac{S}{a_{N,n}} + \frac{S}{a_{K,k}}$. Moreover, $\overline{a} \in AC(X)$ implies $\overline{a} \in A_KC(X)$ and hence there exists k' and $\lambda > 0$ such that $a_{K,k'}$ $\overline{a} \leqslant \lambda$ holds, we may choose $k' \geqslant k$. Now it is enough to select $S' := \lambda + \varepsilon$ in order to get the estimate in (Q). "(ii) \Rightarrow (i)" Clearly (Q) implies (wQ) and by Proposition 3.3.(b), (Q) implies also

Corollary 3.6. If the spectrum AC satisfies (B1), then it also satisfies condition $(\overline{B1})$.

Proof. In the proof of Proposition 3.5 we showed that (B1) implies $(\overline{\text{wS}})$, which we may write in the following way

$$\forall\,N\;\exists\,M\;\forall\,m\;\exists\,n\;\forall\varepsilon>0\;\exists\;\overline{a}\in\overline{A}\colon \tfrac{1}{a_{M,m}}\leqslant\overline{a}+\tfrac{\varepsilon}{a_{N,n}}.$$

To show $(\overline{\mathrm{B1}})$, let N be given. We select M as in $(\overline{\mathrm{wS}})$. For given m we select n as in $(\overline{\mathrm{wS}})$. Let $\varepsilon > 0$ be given. We put $\frac{\varepsilon}{4}$ into $(\overline{\mathrm{wS}})$ and select \overline{a} as in $(\overline{\mathrm{wS}})$. Set $B := \{ f \in AC(X) \; ; \; |f| \leqslant 4\overline{a} \}$. To show the inclusion in $(\overline{\mathrm{B1}})$ we take $f \in B_{M,m}$, that is $a_{M,m}|f| \leqslant 1$. Then $|f| \leqslant \frac{1}{a_{M,m}} \leqslant \overline{a} + \frac{\varepsilon}{2a_{N,n}} \leqslant 2\max(\overline{a}, \frac{\varepsilon}{4a_{N,n}}) = \max(2\overline{a}, \frac{\varepsilon}{2a_{N,n}})$. According to [2, Lemma 3.5] there exist $f_1, f_2 \in C(X)$ with $f = f_1 + f_2$ and $|f_1| \leqslant 2 \cdot 2\overline{a}, |f_2| \leqslant 2 \cdot \frac{\varepsilon}{2a_{N,n}}$. That is $f_1 \in B$ and $f_2 \in \varepsilon B_{N,n}$, thus $f \in B + \varepsilon B_{N,n}$.

In view of Theorem B, which provides a characterization of $\operatorname{Proj}^1 \mathcal{A}C = 0$ via (Q) but no characterization of (ultra-)bornological spaces AC(X), it is a natural question if \mathcal{A} satisfying (wQ) is sufficient for AC(X) being (ultra-)bornological or barrelled. Since this cannot be achieved by the use of Proj^1 -methods one could

hope that the bornologicity criterion (which leaded to a non-homological proof for the implication " $(wQ) \Rightarrow (AC)_0(X)$ barrelled") would yield an improvement of this type. Unfortunately this is not the case: Theorem 2.2 cannot help us to find any sufficient condition for bornological AC(X) spaces which is strictly weaker than (Q). In fact, if AC(X) is bornological or barrelled, then condition (wQ) follows by Theorem B. On the other hand, if we wanted to apply Theorem 2.2 we would have to assume (B1) and by Proposition 3.5 the sequence A must satisfy (Q).

3.3 The case of Fréchet spaces

We study the case that the spaces AC(X) and $(AC)_0(X)$ are Fréchet spaces. That is, we put $a_{N,n} = 2^n a_N$ for some increasing sequence $(a_N)_{N \in \mathbb{N}}$. Alternatively, we may simply define $AC(X) = \operatorname{proj}_N Ca_N(X)$ and $(AC)_0(X) = \operatorname{proj}_N C(a_N)_0(X)$.

Before we present results on the above spaces for a general locally compact and σ -compact space X let us study the case $X = \mathbb{N}$. In this situation, the spaces under consideration turn out to be the well-known Köthe echelon spaces $\lambda^{\infty}(A)$ and $\lambda^{0}(A)$ where the Köthe matrix A is given by $A = (a_{N})_{N \in \mathbb{N}}$ (in the notation of [8, Definition 1.2]).

The following observations are easy; they all refer to the case that the spaces AC(X) and $(AC)_0(X)$ are Fréchet spaces and that $X = \mathbb{N}$.

a. The system \overline{A} introduced in the proof of Proposition 3.5 is just the Köthe set

$$\overline{V} = \left\{ \overline{a} \colon \mathbb{N} \to \left. \right] 0, \infty \right[\, ; \, \forall \, N \colon \sup_{i \in \mathbb{N}} a_N(i) \overline{a}(i) < \infty \right\}$$

of Bierstedt, Meise, Summers [8, Definition 1.4].

b. Condition $(\overline{\text{wS}})$ of the proofs of Proposition 3.5 and Corollary 3.6 reduces to

$$\forall \, N \, \exists \, M \, \forall \, \varepsilon > 0 \, \exists \, \overline{a} \in \overline{A} : \tfrac{1}{a_M} \leqslant \overline{a} + \tfrac{\varepsilon}{a_N},$$

which is equivalent to condition

$$(\text{wS}) \qquad \begin{array}{l} \forall \ N \ \exists \ M \ \forall \ \varepsilon > 0 \ \exists \ \overline{a} \in \overline{A} \ \forall \ i \in \mathbb{N} \colon \\ \frac{1}{a_M(i)} \leqslant \frac{\varepsilon}{a_N(i)} \ \text{ whenever } \ \overline{a}(i) < \frac{1}{a_M(i)} \end{array}$$

of Bierstedt, Meise, Summers [8, Proposition 3.2].

c. The conditions (Q) and (Q) both are equivalent to

$$\forall \, N \; \exists \, M \; \forall \, K, \, \varepsilon > 0 \; \exists \, S > 0 \colon \tfrac{1}{a_M} \leqslant \tfrac{\varepsilon}{a_N} + \tfrac{S}{a_K}.$$

They are also equivalent to the regularly decreasing condition of [9].

Let us now review some well-known results on the spaces $\lambda^{\infty}(A)$ and $\lambda^{0}(A)$, which should be compared with Propositions 3.8 and 3.9 below.

Proposition 3.7. (Bierstedt, Meise, Summers [8, Proposition on p. 48, Proposition 3.2, Corollary 3.5 and Example 3.11], Vogt [22, last Remark on page 167] and Meise, Vogt [17, 27.20]) Let A be a Köthe matrix and denote by AL and A_0L the natural projective spectra corresponding to $\lambda^{\infty}(A)$ and $\lambda^{0}(A)$, respectively.

- (a) The following conditions are equivalent.
 - (i) AL is reduced.
 - (ii) $\lambda^{\infty}(A)$ is quasinormable.
 - (iii) A satisfies condition (wS).
 - (iv) A satisfies condition (Q).
 - (v) A satisfies condition (Q).
- (b) A_0L is always reduced. Moreover, the following conditions are equivalent.
 - (i) $\lambda^0(A)$ is quasinormable.
 - (ii) A satisfies condition (wS).
 - (iii) A satisfies condition (Q).
 - (iv) A satisfies condition (Q).
- (c) There exists a Köthe matrix A which does not satisfy condition (wS), that is the space $\lambda^0(A)$ is reduced but not quasinormable.

As a consequence the implication "(ii) \Rightarrow (i)" in Proposition 2.6 and the implication "(B1) \Rightarrow ($\overline{B1}$)" do not hold in general.

To conclude, we consider Fréchet spaces AC(X) and $(AC)_0(X)$ for an arbitrary locally compact and σ -compact topological space X.

Proposition 3.8. In the Fréchet case, the following conditions are equivalent.

- (i) AC(X) is quasinormable.
- (v) \mathcal{A} satisfies (Q).
- (ii) AC is reduced.
- (vi) \mathcal{A} satisfies (Q).
- (iii) AC satisfies (B1).
- (vii) A satisfies condition (\overline{wS}) .
- (iv) AC satisfies ($\overline{B1}$).

Proof. "(iv) \Rightarrow (ii)" This is Proposition 2.6.

- "(ii)⇒(iii)" This is Proposition 2.6.
- "(iii) \Rightarrow (iv)" This is Corollary 3.6.
- "(iv) \Rightarrow (i)" As we noted before Proposition 2.6, for projective spectra of Banach spaces ($\overline{B1}$) implies the definition of quasinormability.
- "(i)⇔(vii)" This follows from Bierstedt, Meise [7, Proof of Proposition 5.8].
- "(vii)⇔(v)" This is known; see Proposition 3.7.
- "(v) \Leftrightarrow (vi)" As we noted before Proposition 3.7, in the Fréchet case (Q) and (Q) coincide.
- "(v) \Rightarrow (iii)" This is Proposition 3.3.(b).
- "(iii) \Rightarrow (v)" In the Fréchet case condition (wQ) reduces to

$$\forall N \exists M \forall K \exists S > 0 : \frac{1}{a_M} \leqslant S \max\left(\frac{1}{a_N}, \frac{1}{a_K}\right)$$

and is always satisfied: Let N be given. We choose M:=N. For given K we put S:=1. Then the estimate $\frac{1}{a_N}\leqslant \max(\frac{1}{a_N},\frac{1}{a_K})$ is trivial. Hence, Proposition 3.5 yields the desired implication.

Proposition 3.9. In the Fréchet case, the following statements hold.

- (i) A_0C is always reduced.
- (ii) (wQ) is always satisfied.
- (iii) For A_0C , condition (B1) is always satisfied.
- (iv) $(AC)_0(X)$ fails to be quasinormable in general. Thus conditions (B1) and $(\overline{B1})$ are not equivalent for A_0C .

Proof. (i) This follows from Agethen, Bierstedt, Bonet [2, Section 2].

- (ii) See the proof of "(iii)⇒(v)" in Proposition 3.8.
- (iii) By Proposition 2.6, (B1) is equivalent to the reducedness of $(AC)_0(X)$. Hence the assertion follows from statement (i).
- (iv) This follows from Proposition 3.7.(c). Now, it is enough to recall that for projective spectra of Banach spaces ($\overline{B1}$) implies the definition of quasinormability.

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